



Fundamentals of Photogrammetric and LIDAR Mapping – Part 1

Florida Board of Professional Engineers
Approved Course No. 0010329

4 PDH Hours

A test is provided to assess your comprehension of the course material – 24 questions have been chosen from each of the above sections. You will need to answer at least 17 out of 24 questions correctly (>70%) in order to pass the overall course. You can review the course material and re-take the test if needed.

You are required to review each section of the course in its entirety. Because this course information is part of your Professional Licensure requirements it is important that your knowledge of the course contents and your ability to pass the test is based on your individual efforts.

Course Description:

This course material is based entirely on a design guide issued by the US Army Corps of Engineers (USACE). The course is Part 1 of 2 and covers Chapter 4 of the USACE Photogrammetric and LiDAR Mapping ENGINEERING AND DESIGN guide. Part 2 covers Chapters 6 and 7.

In Part 1 (Chapter 4) the course will review the fundamentals of Photogrammetry. Photogrammetry can be defined as the science and art of determining qualitative and quantitative characteristics of objects from the images recorded on photographs, whether hardcopy film or digital imagery. Fundamentals of the technology, its uses and applications will be discussed in the course.

In Part 2 (Chapters 6 and 7) the course will review the fundamentals of Airborne Topographic LiDAR (land based) and Airborne Bathymetric LiDAR (underwater). LiDAR is a remote sensing technique used to measure the distance to an object by determining the time of flight for an emitted laser beam. Fundamentals of the technology, its uses and applications will be discussed in the course.

How to reach Us ...

If you have any questions regarding this course or any of the content contained herein you are encouraged to contact us at Easy-PDH.com. Our normal business hours are Monday through Friday, 10:00 AM to 4:00 PM; any inquiries will be answered within 2 days or less. Contact us by:

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Refer to Course No. 0010329,

Fundamentals of Photogrammetric and LIDAR Mapping – Part 1

How the Course Works...

What do you want To do?	 LOOK For This!
 Search for Test Questions and the relevant review section	 Q1 Search the PDF for: Q1 for Question 1, Q2 for Question 2, Q3 for Question 3, Etc... (Look for the icon on the left to keep you ON Target!)

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24 QUESTIONS

Q1: Photogrammetry can be defined as the science and art of determining qualitative and quantitative characteristics of objects from the images:

- (A) recorded on hard copy film
- (B) recorded on digital imagery
- (C) recorded on infrared imagery
- (D) A and B

Q2: What is defined as the ratio between an image distance on the photograph and the corresponding horizontal ground distance:

- (A) photo ratio
- (B) ground scale
- (C) photo scale
- (D) ground ratio

Q3: Unavoidable aircraft tilt causes aerial photographs to be exposed with the camera axis:

- (A) tilted away from vertical
- (B) tilted towards vertical
- (C) tilted away from horizontal
- (D) tilted towards horizontal

Q4: The analytical era of photogrammetry started when:

- (A) 1940s
- (B) 1950s
- (C) 1960s
- (D) 1970s

Q5: Digital photogrammetry is the current era of photogrammetry and is also called:

- (A) software photogrammetry
- (B) softcopy photogrammetry
- (C) soft photogrammetry
- (D) softcopy photography

Q6: The USACE requires that the flight crew and cameraman have a minimum of HOW MANY hours of experience in photogrammetric mapping missions:

- (A) 200
- (B) 300
- (C) 400
- (D) 600

Q7: Departures from the specified flight height shall not exceed WHAT percentage for all flight heights up to 12,000 feet above ground elevation:

- (A) 1 percent LOW
- (B) 5 percent HIGH
- (C) 2 percent LOW
- (D) 2 percent LOW or 5 percent HIGH

Q8: Modern high-resolution digital mapping cameras can capture imagery as small as:

- (A) 0.25 inch
- (B) 0.50 inch
- (C) 0.25 feet
- (D) 0.50 feet

Q9: Major advantages of single-engine aircraft for aerial image acquisition are:

- (A) lower initial investment costs
- (B) lower operating costs
- (C) lower maintenance costs
- (D) All of the Above

Q10: Major advantages of helicopters for aerial image acquisition are all of the following EXCEPT:

- (A) ability to quickly change altitudes
- (B) operational costs per hour
- (C) ability to quickly changing direction
- (D) their versatility

Q11: Twin engine aircraft provide efficient operations up to expected altitudes of:

- (A) 5,000 feet
- (B) 10,000 feet
- (C) 20,000 feet
- (D) 30,000 feet

Q12: Painted photo control targets are:

- (A) removable
- (B) made of plastic or cardboard
- (C) made from colors which contrast the surrounding terrain
- (D) All of the Above

Q13: Check points should always be surveyed and for a project with less than 500 square kilometers, the Total Number of Static 3D Check Points should be:

- (A) 25
- (B) 30
- (C) 40
- (D) 50

Q14: The simultaneous space resection and space intersection of thousands of image rays recorded by an aerial mapping camera is called:

- (A) Aerial Mapping
- (B) Aerial Geometry
- (C) Aerial triangulation
- (D) Aerial Sectioning

Q15: The first layer of a Geodatabase is called what:

- (A) the primary layer
- (B) the Dataset
- (C) the first layer
- (D) the primal layer

Q16: The most common mapping projects that utilize satellite imagery include all of the following EXCEPT:

- (A) Geomapping
- (B) orthomosaics
- (C) planimetric mapping
- (D) topographic mapping

Q17: Of common Commercial Imaging Satellites, the DigitalGlobe Quickbird Satellite Features a Radiometric Resolution of:

- (A) 8 bit
- (B) 11 bit
- (C) 12 bit
- (D) 14 bit

Q18: What is one of the most common types of products created from satellite imagery:

- (A) topographic mapping
- (B) geomapping
- (C) classification mapping
- (D) bathymetric mapping

Q19: A DEM is an application where resolutions down to 1-meter are necessary. DEM is the acronym for:

- (A) Digital Electro Magnetic Imaging
- (B) Digital Electron Magnetic Imaging
- (C) Digital Elevation Models
- (D) Digital Elevation Maintenance

Q20: Ground control in satellite photogrammetry is conducted differently than that of traditional aerial photogrammetry because:

- (A) virtual ground control points are used to orient imagery
- (B) physical ground control points are used to orient imagery
- (C) aerial triangulation is used
- (D) stereo pairs are used

Q21: With Stereo Model Acquisition Geometry an Epipolar Plane is the plane formed by:

- (A) two rays from the ground point to the perspective centers
- (B) two rays from the epipolar point to the perspective centers
- (C) two rays from the ground point to the camera focal point
- (D) two rays from the ground point to the perspective edge of each center

Q22: What should be considered when looking to describe the level of detail that can be seen in an image:

- (A) temporal resolution
- (B) temporal spatial ratio
- (C) spatial resolution
- (D) pixel resolution

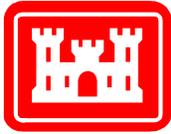
Q23: The Sun elevation describes what:

- (A) the angle of the sun above the vertical plane
- (B) the height of the sun above the vertical plane
- (C) the angle of the sun above the horizon
- (D) the height of the sun above the horizon

Q24: Cloud-cover guarantees are offered with Satellite imagery collections and is typically:

- (A) 5 percent or less
- (B) 15 percent or less
- (C) 20 percent or less
- (D) 25 percent or less

END OF TEST QUESTIONS



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ENGINEERING AND DESIGN

Photogrammetric and LiDAR Mapping

ENGINEER MANUAL

CHAPTER 4

Aerial Photogrammetry



Q1

4-1. Fundamentals of Photogrammetry. Photogrammetry can be defined as the science and art of determining qualitative and quantitative characteristics of objects from the images recorded on photographs, whether hardcopy film or digital imagery. Objects are identified and qualitatively described by observing photographic image characteristics such as shape, pattern, tone, and texture. Identification of deciduous versus coniferous trees, delineation of geologic landforms, and inventories of existing land use are examples of qualitative observations obtained from photography. The quantitative characteristics of objects such as size, orientation, and position are determined from measured image positions in the image plane of the camera taking the photography. Tree heights, stockpile volumes, topographic maps, and horizontal and vertical coordinates of unknown points are examples of quantitative measurements obtained from photography. The purpose of this section is to review the basic geometry of aerial photography and the elements of photogrammetry that form the foundation of photogrammetric solutions. Comparisons are also provided between analog, analytical and digital photogrammetry.

a. Principles of Photogrammetry. Aerial photogrammetry enables the accurate mapping of 3D coordinates on the ground, using 2D coordinates measured on stereo aerial photographs that see the same points on the ground from two or more different perspectives. Figure 4-1 illustrates how 3D ground coordinates of point P can be determined photogrammetrically from 2D photo coordinates of p_1 and p_2 measured on a pair of stereo aerial photographs.

In this figure, f is the focal length of the camera lens; o_1 and o_2 show the locations of the focal point of the camera lens when photographs 1 and 2 were taken; x_1 and x_2 depict the direction of flight at the moment each image was acquired and establish the x-axes on the stereo photographs; y_1 and y_2 show the cross-flight directions and establish the y-axes on the stereo photographs; and p_1 and p_2 show the locations where point P is imaged on the two photographs. The photo coordinate system establishes x_1 and y_1

photo coordinates of point p_1 on the left image as well as the x_2 and y_2 photo coordinates of point p_2 on the right image. The main point to remember from this brief summary of photogrammetry is that the light ray projecting from o_1 through p_1 (inside the camera for the left image) to P (on the ground), and the light ray projecting from o_2 through p_2 (inside the camera for the right

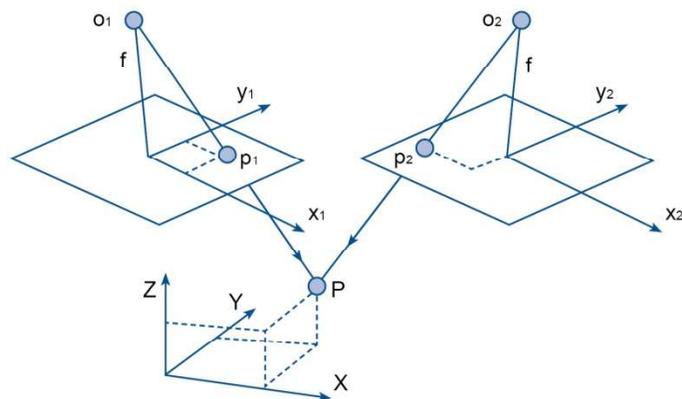


Figure 4-1. Principles of photogrammetry – how 2-D measurements of points p_1 and p_2 on stereo photographs enable the 3-D mapping of point P on the ground.

image) to P (on the ground), will never intersect at P (to determine its X/Y/Z coordinates on the ground) unless there is (1) good *interior orientation* that defines the geometric parameters of the imaging process inside the camera and (2) good *exterior orientation* that defines the accurate 3D position in the air for the camera lens' focal point (x/y/z coordinates of points o_1 and o_2) as well as the accurate angular orientation (roll, pitch and yaw) of the aircraft and camera when each photo or digital image was acquired. Camera calibration establishes the *interior orientation* parameters for each lens cone of a metric camera, and aerial triangulation (AT) establishes the *exterior orientation* parameters for each photograph or digital image as well as *absolute orientation* of all stereo models to fit ground control. A metric camera is constructed so its image characteristics remain stable, i.e., camera calibration parameters do not change over time. Metric cameras must be consistently spatially accurate; they are essential for most photogrammetric projects and are much more expensive than non-metric cameras. Because of broad applications for USACE, this chapter focuses on aerial photogrammetry with cameras pointing in the near-nadir (vertical) direction rather than oblique or horizontal directions.

b. Geometry of Aerial Photography. The front nodal point of the camera lens is defined as the exposure station of the photograph (see points o_1 and o_2 in Figure 4-1). The nodal points are those points in the camera lens system where any light ray entering the lens and passing through the front nodal point will emerge from the rear nodal point travelling parallel to the incident light ray. Therefore, the photograph can be shown on the object side of the camera lens, positioned such that the object point, the image point, and the exposure station all lie on the same straight line. The line through the lens nodal points and perpendicular to the image plane intersects the image plane at the principal point, shown in Figure 4-1 as the origin of the x/y axes on the two images. The distance measured from the rear nodal point to the negative principal point or from the front nodal point to the positive principal point is equal to the focal length (f) of the camera lens.

(1) Vertical Aerial Photography. Vertical photographs, exposed with the optical axis vertical or as nearly vertical as possible, are the principal kind of photographs used for mapping. If the optical axis is perfectly vertical, the resulting photograph is termed a "truly vertical" photograph. In spite of the precautions taken to maintain the vertical camera axis, small tilts are invariably present; but these tilts are usually less than 1 degree and they rarely exceed 3 degrees. Photographs containing these small, unintentional tilts are called "near vertical" or "tilted" photographs. Many of the equations developed in this chapter are for truly vertical photographs, but for certain work, they may be applied to near vertical photos without serious error. Photogrammetric principles and practices have been developed to account for tilted photographs, and no accuracy whatsoever need be lost in using tilted photographs.



(a) Photographic scale. The scale of an aerial photograph (photo scale) can be defined as the ratio between an image distance on the photograph and the corresponding horizontal ground distance. Note that if a correct photographic scale ratio is to be computed using this definition, the image distance and the ground distance must be measured in parallel horizontal planes. This

condition rarely occurs in practice because the photograph is likely to be tilted and the ground surface is seldom a flat horizontal plane. Therefore, scale will vary throughout the frame of a photograph, and photographic scale can be defined only at a point. Please note that the concept of photographic scale pertains primarily to film imagery because the scale of digital images can be changed at the push of a button. The scale at a point on a truly vertical photograph is given by:

$$S = f / (H - h)$$

where:

S = photographic scale at a test point

f = camera focal length

H = flying height above datum

h = elevation above datum of the test point

This equation is exact for truly vertical photographs and is also typically used to calculate scale on nearly vertical photographs. In some instances, such as for flight planning calculations, approximate scaled distances are adequate. If all ground points are assumed to lie at an average elevation, an average photographic scale can be adopted for direct measurements of ground distances.

Average scale is calculated by:

$$S_{avg} = f / (H - h_{avg})$$

where h_{avg} is the average ground elevation in the photo. For example, for an approximate horizontal length of a line:

$$D = (d * (H - h_{avg})) / f$$

where:

D = horizontal ground distance

d = photograph image distance

The flat terrain assumption, however, introduces scale variation errors. For accurate determinations of horizontal distances and angles, the scale variation caused by elevation differences between points must be accounted for in the photogrammetric solution.

(b) Horizontal ground coordinates. Horizontal ground distances and angles can be computed using coordinate geometry if the horizontal coordinates of the ground points are known. Horizontal ground coordinates can be calculated by dividing each photo-coordinate by the true photographic scale at the image point. In equation form, the horizontal ground coordinates of any point are given by

$$X_P = x_p (H - h_p) / f$$

$$Y_P = y_p (H - h_p) / f$$

where:

X_P, Y_P = ground coordinates of point P

x_p, y_p = photocoordinates of point p

h_p = ground elevation of point P

Note that these equations use a coordinate system defined by the photo-coordinate axes having an origin at the photo principal point and the x-axis typically through the mid-side edge of the photo (i.e., along and in the direction of flight). Then the local ground coordinate axes are placed parallel to the photo-coordinate axes with an origin at the ground principal point. The equations for horizontal ground coordinates are exact for truly vertical photographs and typically used for near vertical photographs. The horizontal distance between points A and B is given by:

$$D_{AB} = \sqrt{(X_A - X_B)^2 + (Y_A - Y_B)^2}$$

where: X_A and Y_A are the ground coordinates of point A and

X_B and Y_B are the ground coordinates of point B

This solution is not an approximation because the effect of scale variation caused by unequal elevations is included in the computation of the ground coordinates. It is important to note, however, that the elevations h_A and h_B must be known before the horizontal ground coordinates can be computed.

(c) Relief displacement. Relief displacement is another characteristic of the perspective geometry recorded by an aerial photograph. The displacement of an image point caused by changes in ground elevation is closely related to photographic scale variation. Relief displacement is evaluated when analyzing or planning mosaic or orthophoto projects. Relief

displacement is also a tool that can be used in photo interpretation to determine heights of vertical objects. Relief displacement is always along radial lines from the principal point of a truly vertical photograph or the nadir of a tilted photograph. The magnitude of relief displacement is given by the formula:

$$d = rh / H$$

where:

d = image displacement

r = radial distance from the principal point to the image point

H = flying height above ground

h = ground elevation of image point

Because the image displacement of a vertical object can be measured on the photograph, we can solve for the height of vertical objects:

$$h_t = d (H - h_{\text{base}}) / r_{\text{top}}$$

where:

h_t = vertical height of the object

h_{base} = elevation at the object base above datum



Q3

(d) Exterior Orientation of Photographs. Unavoidable aircraft tilt causes aerial photographs to be exposed with the camera axis tilted away from vertical. An accurate photogrammetric solution using aerial photographs, whether film or digital images, must account for the camera position and angular orientation at the instant of each exposure. The exterior orientation of a photograph is the spatial position of the focal point and its angular orientation with respect to the ground coordinate system. Six independent parameters ($X/Y/Z$ and $\omega/\phi/\kappa$) are required to define exterior orientation for each photograph's exposure station. The space position is normally given by three-dimensional $X/Y/Z$ coordinates of the exposure station in a ground coordinate system; the vertical coordinate corresponds to the flying height above datum. Angular orientation is given by the $\omega/\phi/\kappa$ orientation about the x , y and z axes in flight, applied sequentially:

ω (omega) is the (roll) rotation about the photograph's x -axis (direction of flight)

ϕ (phi) is the (pitch) rotation about the photograph's y -axis

κ (kappa) is the (yaw) rotation about the photograph's z-axis

The angular orientation of a truly vertical photograph taken with the flight line in the X-axis direction is: $\omega=0$, $\phi=0$ and $\kappa=0$. The omega-phi-kappa angular orientation system is used to express the exterior orientation of a photograph and produce accurate map information from aerial photographs.

(2) Stereoscopic (Stereo) Photography. Stereoscopic vision determines the distance to an object by intersecting two lines of sight. In the human vision system, the parallax angle between converging lines of sight associates the observed angle with a distance. Similarly, overlapping aerial photographs can be viewed stereoscopically with the aid of a stereoscope. The stereoscope forces the left eye to view the left photograph and the right eye to view the right photograph. Since the right photograph images the same terrain as the left photograph, but from a different exposure station, the perceived parallax angles of the two images are visually combined. As the viewer scans the entire overlap area of the two photographs, a continuous stereo model of the ground surface can be seen. The stereo model can be measured in three dimensions, yielding the elevation and horizontal position of unknown points. The limitation that elevation cannot be determined in a single photograph solution is overcome by the use of stereo photography.

(a) Stereo models. The basic unit of photogrammetric mapping is the stereo model formed in the overlapping ground coverage of successive photographs along a flight line. Along each flight line, the overlap of photographs, termed end lap, is typically designed to be 60 percent. End lap must be at least 55 percent to ensure continuous stereoscopic coverage and provide a minimum triple overlap area where stereo models can be matched together. Between adjacent flight lines, the overlap of strips, termed side lap, is typically designed to be 30 percent. Side lap must be at least 20 percent to ensure continuous stereoscopic coverage.

(b) Neat Models. The neat model is the net area to be mapped within each stereo model. For flight planning and estimating of 9" x 9" aerial photographs, photo coverage and stereo model ground dimensions were traditionally computed by assuming truly vertical photography and flat terrain at average ground elevation. By using average photographic scale, the ground coverage (G) of one side of a square format photograph is:

$$G = d/S_{avg}$$

where d is the negative format dimension. The flying height above datum is also found using average scale and average ground elevation.

$$H = h_{avg} + f/S_{avg}$$

Let B represent the air base between consecutive exposures in the strip. Then from the required photo end lap (E_{lap})

$$B = G (1 - E_{lap}/100)$$

Let W represent the distance between adjacent flight lines. Then from the required side lap (S_{lap})

$$W = G (1 - S_{lap}/100)$$

Match lines between contiguous stereo models pass through the center of the triple overlap area and the center of the side lap area. These match lines bound the neat model area, the net area to be mapped within each stereo model. The neat model has width equal to B and length equal to W . Note that with modern flight planning software, and aerial digital imagery that has essentially replaced aerial film imagery, such manual computations are no longer performed. Still, it is good to understand the concept of stereo models and neat model areas for further understanding of photogrammetry.

(c) Parallax equations. The parallax equations may be used for simple stereo analysis of vertical aerial photographs taken from equal flying heights, that is, the camera axes are parallel to one another and perpendicular to the air base. Conjugate image points in the overlap area of two truly vertical aerial photographs may be projected, such that when the photographs are properly oriented with respect to one another, the conjugate image rays recorded by the camera will intersect at the true spatial location of the object point, as shown in Figure 4-1. Photographic parallax is defined as the apparent movement of the image point across the image plane of the camera as the camera exposure station moves along the flight line. The parallax of the image point a : $P_a = x_a - x_a'$, where x_a and x_a' are coordinate distances on the left and right photographs, respectively. Because parallactic image motion is parallel to the movement of the camera, the parallax coordinate system must be parallel to the direction of flight. All parallax occurs along the x -axis in the axis of flight photo coordinate system. The y_a and y_a' coordinates are equal. Given truly vertical aerial photographs and photo coordinates measured in the axis of flight system, the following parallax equations can be derived:

$$X = xB / p$$

$$Y = yB / p$$

$$H = H - (f B / p)$$

where:

X, Y = horizontal ground coordinates

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x, y = photo coordinates on the left photograph

p = parallax

Note that the origin of the ground coordinate system is at the ground principal point of the left photograph, and the X-axis is parallel to the flight line.

(d) Parallax difference equation. The parallax equations given above assume that the photographs are truly vertical and exposed from equal flying heights; thus, the camera axes are parallel to one another and perpendicular to the air base. Scale variation and relief displacement are not regarded as errors in the parallax method because these effects are measured as image parallax and used to compute elevations; however, tilted photographs, unequal flying heights, and image distortions seriously affect the accuracy of the parallax method. Absolute elevations are difficult to determine using the parallax equations given above because small errors in parallax will cause large errors in the vertical distance $H - h$. More precise results are obtained if differences in elevation are determined using the parallax difference formula

$$h_A = h_C + \Delta p(H - h_C)/P_a$$

where:

h_A = elevation of point A above datum

h_C = elevation of point C above datum

P_a = parallax of image point a

P_c = parallax of image point c

Δp = difference in parallax ($P_c - P_a$)

The formula should be applied to points that are close to one another on the photo format. The differencing technique cancels out the systematic errors affecting the parallax of each point. If C is a vertical control point, the absolute elevation of A can be determined by this method.

(e) Resection. Except where airborne GPS and Inertial Measurement Units (IMUs) are used for direct georeferencing, *resection* is the process of recovering the exterior orientation of a single photograph from image measurements of ground control points. In a spatial resection, the image rays from total ground control points (horizontal position and elevation known) are made to resect through the lens nodal point (exposure station) to their image position on the photograph. The resection process forces the photograph to the same spatial position and angular orientation it had when the exposure was taken. The solution requires at least three total

control points that do not lie in a straight line, and the interior orientation parameters, focal length, and principal point location. The exterior orientation must be determined from known ground control points by the resection principle except when airborne GPS and IMUs are used for direct georeferencing.

(f) Intersection. Intersection is the process of photogrammetrically determining the spatial position of ground points by intersecting image rays from two or more photographs (see Figure 4-1). If the interior and exterior orientation parameters of the photographs are known, then conjugate image rays can be projected from the photograph through the lens nodal point (exposure station) to the ground space. Two or more image rays intersecting at a common point will determine the horizontal position and elevation of the point. Map positions of points are determined by the intersection principle from correctly oriented photographs.

(g) Photogrammetric Solution Methods. Correct and accurate photogrammetric solutions must include all interior and exterior orientation parameters. Each orientation parameter must be modeled if the recorded image ray is to be correctly projected and an accurate photogrammetric product obtained. Interior orientation parameters include the camera focal length and the position of the photo principal point. Typically the interior orientation is known from camera calibration. Exterior orientation parameters include the camera position coordinates (X/Y/Z) and the three orientation angles ($\omega/\phi/\kappa$). Typically, the exterior orientation is determined by resection principles as part of the photogrammetric aerotriangulation solution, though *a priori* values for the X/Y/Z position coordinates of each exposure station are now obtained from airborne GPS data, and the *a priori* values of the angular orientations ($\omega/\phi/\kappa$) for each exposure are now obtained from IMUs in modern mapping systems. The remaining parameters are the ground coordinates of the point to be mapped. Planimetric and topographic details are mapped by intersecting conjugate image rays from two correctly oriented photographs. Both analytical and digital photogrammetric solution use mathematical models to represent the image rays recorded by the camera. The image ray is assumed to be a straight line through the image point, the exposure station, and the ground point. The following colinearity equation expresses this condition:

$$x = x_o - \frac{f [m_{11}(X - X_L) + m_{12}(Y - Y_L) + m_{13}(Z - Z_L)]}{[m_{31}(X - X_L) + m_{32}(Y - Y_L) + m_{33}(Z - Z_L)]}$$

$$y = y_o - \frac{f [m_{21}(X - X_L) + m_{22}(Y - Y_L) + m_{23}(Z - Z_L)]}{[m_{31}(X - X_L) + m_{32}(Y - Y_L) + m_{33}(Z - Z_L)]}$$

where:

x, y = measured photo coordinates

x_o, y_o = principal point photo coordinates

m_{ij} = nine direction cosines expressing the angular orientation

X, Y, Z = ground point coordinates

X_L, Y_L, Z_L = exposure station coordinates

These colinearity condition equations include all interior and exterior orientation parameters required to solve the resection and intersection problems accurately. Analytical and digital photogrammetric solutions consist of systems of colinearity equations relating measured image photo coordinates to known and unknown parameters of the photogrammetric problem. The equations are solved simultaneously to determine the unknown parameters. However, because there are usually redundant measurements producing more equations than there are unknowns in the problem, a least squares adjustment is used to estimate the unknown parameters. The least squares adjustment algorithm includes residuals v_x and v_y on the measured photo coordinates that estimate random measurement error.

c. Analog Photogrammetry. Analog photogrammetry represents the first era (also called the classical era) of photogrammetry. Either full-scale or reduced-scale film *diapositives* (positive photographs on a dimensionally-stable transparent medium, film or glass, as opposed to a positive paper print which is not transparent) are used with analog plotters that physically replicate (at reduced scale) the spatial geometry that existed when a strip of overlapping stereo photographs was taken. Aerial triangulation is performed manually with analog plotters and requires a great deal of operator expertise.

(1) Optical Stereo Plotters. Among direct optical systems, Zeiss Multiplex projectors (Figures 4-2 and 4-3) were widely used in the 1930s and 1940s to include World War II. The Multiplex used reduced-scale (2"x2") *diapositives* produced from the original film negatives (9"x9"). Even- and odd-numbered projectors would project the images through red and blue filters into *image space*. By using anaglyph glasses (similar to those now used in 3D movies), the operator could see 3D *stereo models* in this *image space* by viewing only the red images with one eye and only the blue images with the other eye. To obtain *relative orientation*, the angular orientation of each projector would be adjusted to replicate the roll, pitch and yaw of each image when originally taken by the camera – an extremely labor-intensive task. Additional labor-intensive steps were taken to obtain *absolute orientation* to ground control. Furthermore, photo-identifiable features did not come into focus in the stereo model until the platen of the tracing table was at the correct elevation for each feature to be mapped. The platen would be moved up and down as necessary to accurately trace planimetric features on the map manuscript in their correct horizontal locations and to map contour lines with uniform elevations. Other optical plotters were popular in the 1950s, using full-scale 9"x9" *diapositives*. Although Multiplex

plotters are no longer used, these images are still a good way to explain to students how photogrammetry works, whether using analog, analytical or digital procedures.

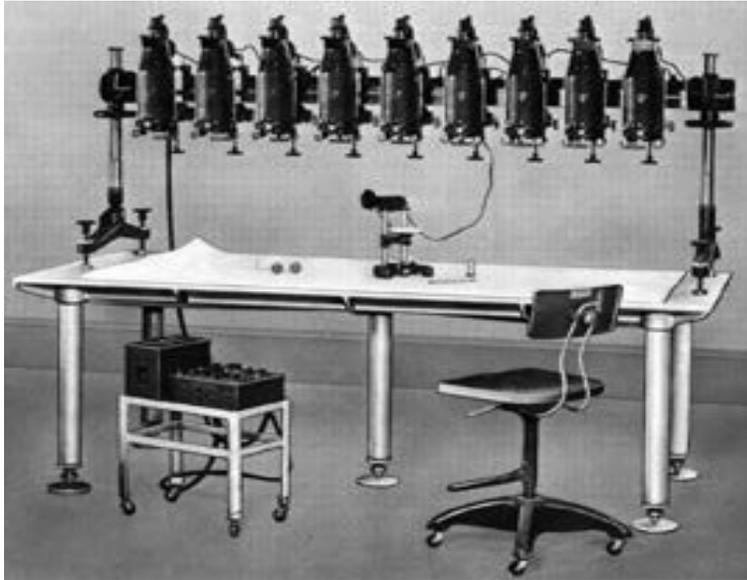


Figure 4-2. Multiplex projectors that physically replicate the geometry that existed when a flight line of nine aerial photos was taken. Without accurate *relative orientation*, the stereo images could never be focused in 3D in the stereo model, and without *absolute orientation*, the map would never fit ground control.

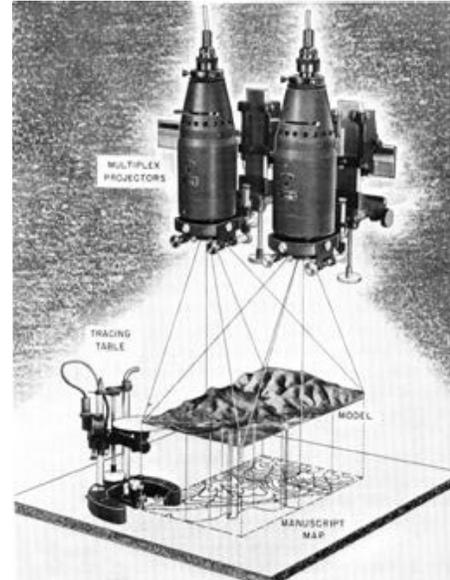


Figure 4-3. A single pair of Multiplex projectors, showing the stereo model, tracing table and map manuscript drawn with a pencil directly below a dot on the platen of the tracing table.

(2) Optical-Mechanical Stereo Plotters. Optical-mechanical stereo plotters were popular through the 1970s. They used metal space rods to simulate the direct optical projection of light rays, e.g., o_1 to P and o_2 to P in Figure 4-1. Figure 4-4 shows a Wild A8 Autograph with coordinatograph that plots map manuscripts. Full-scale 9"x9" diapositives were carefully mounted in the stages shown at the top of Figure 4-4. Looking through eye pieces, operators could view the left image directly with the left eye and the right



Figure 4-4. Wild A8 Autograph with coordinatograph for plotting of map manuscripts from stereo photos. The A8 pictured here was upgraded with electronics for data entry and digital recording of data. The A8 was the photogrammetric “workhorse” for nearly three decades – one of many different models of optical-mechanical stereo plotters produced by Wild Heerbrugg, Zeiss, Kern and other photogrammetric equipment manufacturers during the analog era.

image with the right eye, without need for anaglyph glasses. One hand crank moved the space rods (as well as the coordinatograph) in the X-direction, causing a “floating dot” in the eyepiece to appear to move across the image in the direction of flight between the first and second photographs, and the second hand crank did the same in the Y-direction. The foot pedal changed the elevation being viewed. When the “floating dot” appeared in focus on the ground (when viewed in stereo), the two space rods were effectively intersecting at the correct elevation (as with point P in Figure 4-1). Contour lines of equal elevation were plotted by moving the two hand cranks to keep the floating dot on the ground while keeping the foot pedal unchanged. With most optical-mechanical stereo plotters, the photogrammetric solution was achieved by means of physically altering the positions and rotations of the stages holding the film media relative to each other. Aerial triangulation (AT) processes were very complicated, normally establishing relative orientation only between two images at a time, then cantilevering independent stereo models until photo-identifiable control points enabled absolute orientation of the models. Early systems produced only hardcopy maps while the advent of computers enabled upgraded systems capable of digital data recording. Although more expensive than optical projectors, the optical-mechanical stereo plotters were preferred over direct optical systems because they were more versatile (with later versions modified with encoders for digital recording of coordinates), produced maps of higher accuracy, had better overall stability, and did not need to be operated in dark rooms. Very few optical-mechanical stereo plotters remain in use today in the U.S.



d. Analytical Photogrammetry. Analytical photogrammetry, which represents the analytical era of photogrammetry starting in the 1960s, also uses full-scale (9”x9”) film diapositives but *mathematically* replicates the spatial geometry that existed when strips of overlapping stereo photographs were taken. The analytical era included the development of advanced methods of treating quantitative observations of phenomena affecting the photogrammetric operation, to include atmospheric refraction, for example, where light rays may not be perfectly straight. The metal space rods and linear scales from optical-mechanical plotters were replaced with colinearity equations, computer models and microprocessors for analytical stereo plotters. Most significantly, microprocessors record the 2D photo-coordinates of *pass points* and *tie points* on stereo photographs, automate the AT solutions for large blocks of imagery, including multiple flight lines, and compute the 3D ground coordinates of the *pass points* (that link common points in the overlap areas of two or three images in the same flight line) and *tie points* (that link common points in the overlap areas of adjoining flight lines).

(1) Figure 4-5 shows a Wild Heerbrugg BC2 analytical stereoplotter as well as a point marking and transfer device (far right) that marks *tie points* and *pass points* in selected locations so their photo coordinates can be accurately measured with the analytical stereoplotter. Upon successful completion of aerial triangulation, photo control is passed from one photograph to the next as well as to adjoining photographs in overlapping flight lines.

(2) Figure 4-6 shows a small block of eight aerial photos in each of four overlapping flight lines. With 60% forward overlap, it is typical for six to nine *pass points* to be identified and

marked in the overlap areas of each photo, where possible, and those *pass points* along the edges also serve as *tie points* for overlapping flight lines. After the AT solution, each photograph has newly established points to control the photogrammetric mapping for each stereo pair. All output is digital for mapping with modern computers and plotters. Analytical plotters are now phasing out because of the greatly diminished use of aerial film photography caused by the modern era of digital metric cameras.



Figure 4-5. Wild BC2 analytical plotter that automates aerial triangulation and simplifies photogrammetric mapping processes. On the table to the right, a point marking and transfer device is shown that drills very small holes in the film emulsion for measurement of *pass points* and *tie points* used in aerial triangulation (AT).

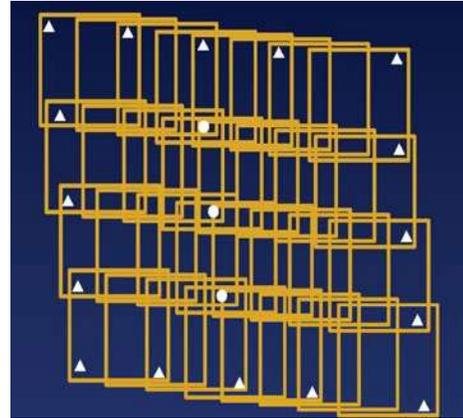


Figure 4-6. For an AT block adjustment, in addition to surveyed control points shown here, *pass points* and *tie points* are identified to “pass” X/Y/Z ground coordinates of points from one image to the next image in the same flight line and “tie” flight lines together.



e. Digital Photogrammetry. Digital photogrammetry, also called *softcopy photogrammetry*, represents the third and current era of photogrammetry. This era started around 1990 with the introduction of digital stereo photogrammetric workstations and high resolution scanners that converted film into digital imagery. The subsequent introduction of modern digital metric cameras further established softcopy photogrammetry as the dominant technology as film photography became less and less viable, and as major film manufacturers halted production of film for aerial cameras. Charge Couple Devices (CCDs) used in digital cameras have a wider dynamic range, improving the accuracy and appearance of finished products, and digital imagery eliminates processing steps necessary with hardcopy photos. With digital photogrammetry, the costly optical-mechanical stereo plotters have been completely replaced by computers, monitors and software that apply complex photogrammetric concepts and sophisticated image-processing techniques, including automated image correlation that enables thousands of *tie points* and *pass points* to be automatically generated rather than manually generated as with analytical photogrammetry. Figure 4-7 shows a digital stereo photogrammetric workstation running software for photogrammetry and lidargrammetry, coupled with GIS software for real time display and analysis. If this looks similar to a typical desktop computer – that’s because it is similar, and that’s the main advantage, i.e., photogrammetric firms now use mass-produced

computers with specialized photogrammetric software rather than purchasing expensive specialized hardware required during the analog and analytical eras. Simultaneous AT block adjustments are now performed with over 20,000 digital images per block of images and millions of auto-correlated *pass points* and *tie points*, rapidly and accurately providing the six exterior orientation parameters needed for each image (X/Y/Z coordinates of the lens focal point, and roll/pitch/yaw of the camera, when each image was taken). Automatic image correlation also enables the simplified generation of Digital Surface Models (DSMs) and the highly popular digital orthophotos produced by draping unrectified digital aerial imagery over a DSM or DEM. Digital photogrammetric software is also used in lidargrammetry discussed in Chapter 6 of this manual.



Figure 4-7. A digital stereo photogrammetric workstation running SOCET SET® photogrammetric software coupled with ArcGIS for real time display and analysis of data. This is used for softcopy photogrammetry and lidargrammetry.

4-2. Project Specifications. Project specifications for aerial image acquisition are changing as a result of the transition from film to digital cameras. Some of the specifications, below, pertain to film cameras, while recognizing that most aerial imagery acquired today for USACE is performed with modern digital cameras for which image quality, resolution, clarity, color balancing and dynamic range are greatly improved and for which operators have far greater flexibility, including the ability to change lenses in-flight. In all cases, specifications herein pertain to metric aerial mapping cameras for which the defining characteristic is that they are stable and perform consistently over long periods of time so that calibration parameters do not change. Whereas the photo scale was the most important parameter for analog and analytical photogrammetric mapping that used hardcopy aerial photos, the pixel size of digital orthophotos and the ground sample distance (GSD) of the raw digital imagery are the most important parameters for digital (softcopy) photogrammetry.



Q6

a. Contractor Responsibilities. The contractor shall be responsible for operating and maintaining all aircraft used in conformance with all governing Federal Aviation Administration and Civil Aeronautics Board regulations over such aircraft. Any inspection or maintenance of the aircraft resulting in missing favorable weather will not be considered as an excusable cause for delay. The flight crew and cameraman shall have had a minimum of 400 hours experience in flying precise photogrammetric mapping missions. The contractor shall inspect and constantly monitor the image quality and coverage, and shall undertake immediate reflights of areas where coverage does not meet specifications. The reason for any imagery that does not meet the standard specifications shall be noted on the flight log. Rejection of imagery by the contractor or

the Contracting Officer shall not in itself be a reason for granting a delay or another photo season. Failure to undertake reflights or delays in forwarding materials for preliminary inspection (if required) that result in a lost season may be reason to invoke default of contract.

b. Operational Procedures. Operational procedures and best practices for aerial mapping cameras and aircraft are itemized in this section.

(1) Aircraft. The aircraft furnished shall be capable of stable performance and shall be equipped with essential navigation and photographic instruments and accessories (e.g., airborne GPS and inertial measurement unit), all of which shall be maintained in operational condition during the period of the contract. No windows shall be interposed between the camera lens system and the terrain, unless high altitude photography is involved. Also, the camera lens system shall not be in the direct path of any exhaust gasses or oil from aircraft engines.

(2) Aircraft utilization. Total aircraft utilization to, from, between, and over project sites is based on the provisions contained in the contract. For the purposes of estimating aircraft operational time, any day containing two or more consecutive hours of suitable flying conditions, in any sizable portion of the area not yet photographed, will be considered a suitable day for aerial photography. Additional crew costs will accrue during deployment at or near the project site, where applicable. Aircraft and flight crew standby at the home base shall be considered as an overhead expense.

(3) Emergency aircraft standby. Detailed requirements, conditions, notification procedures, and compensation provisions for emergency dedication of an aircraft to a USACE Command shall be specified. Direct and indirect costs shall be clearly identified in establishing the day rate for such an item.

(4) Flight line design. The ground distance between adjacent flight lines and the air distance between consecutive exposure stations shall be determined to meet coverage tolerances with respect to the flying altitude above mean ground height (H). For film photography, photo scales for map compilation shall be as stated in the applicable contract section. For digital imaging, modern flight planning software will be used for flight planning in order to acquire imagery with the required ground sample distance (GSD).

(5) Weather conditions (flying conditions). Unless otherwise specified, aerial photographs shall be taken between the hours of 10 a.m. and 2 p.m., local solar time, on days when well defined images can be obtained. However, modern digital cameras can reduce the traditional effects of shadows and offer greater flexibility in mapping through shadows at different times of the day. Imaging shall not be attempted when the ground is obscured by haze, smoke, dust, or snow or when the clouds or cloud shadows will appear on more than 5 percent of the area of any one photograph without permission of the Contracting Officer or specifically defined differently in the contracted task order scope of work. Photographs shall not contain shadows caused by

topographic relief or sun angle, whenever such shadows can be avoided during the time of year the photography must be taken. Any day containing two or more consecutive hours of suitable flying conditions, in any sizable portion of the area not yet photographed, will be considered a suitable day for aerial photography.

(a) Sun angle. Photographing shall be undertaken when the sun angle is 30 degrees or greater, there is no atmospheric haze, and the lighting and weather conditions are suitable for obtaining acceptable photos. Special care must be taken to minimize shadows in mountainous and canyon areas because shadows on photos are black and contain little or no detail. Exceptions to the stated sun angle requirement may be made if additional shadow detail will enhance ground images or if reflections or hot spots will degrade the aerial imagery.

(b) Cloud cover. Aerial imagery shall not be obtained during poor weather conditions. Excessive wind conditions that will not permit maintaining the allowable flight line tolerances shall be avoided. Images that contain clouds, haze, or smoke so that critical ground areas are obscured shall be rejected.

(c) Ground conditions. The season and any special requirements concerning foliage, snow, or other conditions will be specified in the contract. Conditions that might obscure ground detail shall be the responsibility of the contractor. However, if questions or concerns about conditions exist, consultation with the COR before undertaking or continuing the work is advisable. Aerial image acquisition shall be limited to the season specified in the contract unless otherwise authorized by the Contracting Officer.

(6) Project area coverage. The centers of the first two and last two exposures of each flight line shall fall beyond the project boundaries.

(a) Flight lines. The minimum area(s) to be imaged will be indicated on maps, shapefiles or KMZ files provided for each image acquisition assignment. The contractor shall design the flight lines to obtain proper side lap to assure full stereoscopic photo coverage. Generally, the flight lines shall be parallel to each other, and outside of consideration for wind direction, align to the longest boundary lines of the area to be photographed. With today's cameras, automated flight planning software, airborne GPS, and modern avionics, it is relatively easy to acquire digital images at the correct altitudes and with photo centers correctly located for desired forward overlap and sidelap. With film cameras it is important to maintain the desired photo scale; with digital cameras it is important to maintain the desired ground sample distance (GSD).



(b) Flight height. Departures from specified flight height shall not exceed 2 percent low or 5 percent high for all flight heights up to 12,000 ft. above ground elevation. Above 12,000 ft., departures from specified flight height shall not exceed 2 percent low or 600 ft. high. During inspection for acceptance of film imagery, the flight height can be verified by multiplying the focal length of the camera by the calculated scale of the aerial photos; the photography scale is

calculated by dividing the distance between two identifiable points as measured on one of the photographs (as near as possible at the mean ground elevation) by the actual ground distance as measured from the best available map. For modern digital image acquisition, airborne GPS data, when available, provides the easiest and most accurate means for verifying that planned flying heights were achieved.

(c) Stereoscopic coverage. The entire area of the project must be stereoscopically covered by successive and adjacent overlaps of images within the usable portion of the field of the lens. This is an essential requirement for photomapping work. Lack of acceptable stereoscopic coverage caused by the contractor's failure to adhere to the specified flight design shall be corrected by reflights at the contractor's own expense. Lack of acceptable stereoscopic coverage caused by conditions that could not be avoided by the exercise of reasonable diligence and care on the part of the contractor will be corrected by reflights at the Government's expense, when authorized by the Contracting Officer.

(7) Camera Requirements. For aerial film photography, a single lens precision aerial mapping camera equipped with a high resolution, distortion free lens shall be used on all assignments. The camera shall function properly at the necessary altitude and under expected environmental conditions. The lens cone shall be so constructed so that the lens and focal plane comprise an integral unit, or are otherwise fixed in a rigid orientation with respect to each other. Variations of temperature or other conditions shall not cause deviation from the calibrated focal length in excess of ± 0.05 mm or preclude determination of the principal point location to within ± 0.003 mm. However, digital metric cameras are now preferred for most USACE applications because of their numerous advantages.

(a) Camera opening. The camera opening in the aircraft shall provide an unobstructed field of view when a camera is mounted with all its parts above the outer structure. The field-of-view shall, so far as practicable, be shielded from air turbulence and any effluents such as gasses and oil. The camera port glass (if required) shall be free of scratches and shall not degrade the resolution or the accuracy of the camera.

(b) Exposure control. An automatic exposure control device is permitted and recommended for all aerial imaging, but a manual shutter-control capability can be used for some types of terrain to achieve proper exposure.

(c) Camera Mount. The camera mount shall be regularly serviced and maintained, and shall be insulated against aircraft vibrations.

(8) Camera Calibration and Tolerances. The aerial camera(s) furnished by the contractor shall have been calibrated within 3 years of award of a contract. The camera calibration certificate shall be presented to the Contracting Officer prior to use of the camera. Although

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digital cameras have diverse forms of calibration as described in section 4-3c below, film camera features and acceptable tolerances are as follows:

- (a) Focal length. The calibrated focal length of the lens shall be ± 3 mm of the nominal length, and measured to the nearest 0.001 mm.
- (b) Lens distortion. The absolute value of radial distortion measured at maximum aperture, as stated in the calibration report, shall not exceed 0.01 mm.
- (c) Lens resolving power. With appropriate filter mounted in-place, the Area Weighted Average Resolution (AWAR) shall be at least 60 lines/millimeter (at maximum aperture) stated on calibration report. The lens shall be fully corrected for color photography.
- (d) Shutter. The camera shall be equipped with a between-the-lens shutter of the variable speed type, whose efficiency shall be at least 70 percent at the fastest rated speed.
- (e) Image quality. The imagery shall be clear and sharp and evenly exposed across the frame. The images shall be free from clouds and cloud shadows, smoke, haze, light streaks, snow, flooding, excessive soil moisture, static marks, shadows, and any other defects that interfere with the intended purpose of the photography. If, in the opinion of the Contracting Officer, the contractor has adhered to specifications and has exercised reasonable care to meet quality requirements, allowance will be made for unavoidable shadows, permanent snow fields, or reflectance from water bodies.
- (f) Image resolution. When there is doubt concerning the resolution of images obtained, a comparison will be made of well-defined edges of manmade structures and other features in the photo with previous imagery that is of acceptable quality, similar scale, and contrast. If the imagery is obviously degraded when compared to previously accepted or acceptable images, the images can be rejected for poor image quality.
- (g) Image clarity. The contractor shall make appropriate measurements to determine edge contrast for photos from several representative photos/images.
- (h) Color balance. Any exposures within the project area with a color balance shift compared to the remainder of the photography will result in unacceptable exposures.
- (i) Dynamic Range. If necessary, exposure level balancing should be applied to extend the contrast levels of digital images to span the entire working range available to the selected bit storage range. Specular reflectors (such as water surfaces) or small, isolated brightness anomalies within a scene shall not be used for determining the maximum or minimum usable contrast range of the digital photos.

c. Digital Orthophotos. Project specifications typically might include the following:

(1) Geographic area to be mapped (normally based on government-provided shapefiles); and verbiage stating approximate square miles total for the area of interest (AOI).

(2) Pixel size of final orthophotos (e.g., 3", 6" or 12" pixels), or ground sample distance (GSD) of raw imagery to be collected – note, the pixel size is normally \geq the GSD;

(3) Horizontal accuracy (normally RMSE_x, RMSE_r and/or Accuracy_r at 95% confidence level per NSSDA);

(4) Color bands to be acquired (normally R/G/B for natural color, near infrared, and/or panchromatic); and how the bands are to be delivered, what order the bands are arranged.

(5) Acquisition window (range of dates for leaf-off or leaf-on conditions); Late spring, early summer, etc.

(6) Other acquisition conditions (e.g., no clouds, snow, flooding);

(7) Ground control and/or direct georeferencing requirements (airborne GPS and IMU positioning and orientation);

(8) GPS base station limitations, if any;

(9) Data void guidance, if any;

(10) Digital image format (e.g., GeoTIFF with specified tags and keys);

(11) Delivery medium (e.g., external hard drive, USB2)

(12) Digital image tile size (e.g., 1,000 meter grid with no overedge);

(13) Horizontal datum (e.g., North American Datum of 1983 (NAD83)/HARN adjustment)

(14) Vertical datum (e.g., North American Vertical Datum of 1988 (NAVD88), using the most recent National Geodetic Survey (NGS)-approved geoid model for conversions from ellipsoid heights to orthometric heights, currently GEOID12A;

(15) Coordinate system (e.g., UTM or State Plane Coordinate System);

(16) Units (e.g., meters, or U.S. Survey Feet) – note, never specify “feet” but instead specify U.S. Survey Feet or International Feet;

(17) Additional image characteristics (e.g., limits on mosaic seamline placement, misalignment, tonal/color balancing, building lean, and image artifacts);

(18) Accuracy testing and reporting requirements;

(19) File naming convention;

(20) Metadata requirements;

(21) QA/QC procedures;

(22) Deliverables and due dates

(23) Reports to be submitted (e.g., survey report with field work procedures, data acquisition report, AT report, production report, QA/QC report); and Example Scopes of Work (SOWs) for a digital orthophoto project are in Appendix F and Appendix G.

d. Planimetric Mapping. In addition to items in 4-2.b above that are not exclusive to digital orthophotos, project specifications include clarification of source materials to be used for planimetric mapping (e.g., TIFF images and final AT solution); scale of hardcopy maps to be produced (if any); and specifications that will enable the development of a data dictionary with collection rules (including topology) for populating a geodatabase with any or all of the following planimetric features to be mapped:

(1) Paved and unpaved surfaces (e.g., roads; shoulders; driveways; parking lots; concrete pads; alleys; sidewalks; trails)

(2) Airports (e.g., runways; taxiways; aprons; helipads)

(3) Railroads (e.g., individual tracks; crossings; roundhouse; abandoned railroad)

(4) Manmade structures (e.g., buildings >10'x10'; ruins >10'x10'; decks, patios and canopies > 100 ft²; storage tanks; silos; bunkers; foundations >100 ft²; smokestacks; water towers; stairways; below-ground pools; above-ground pools; windmills; turbines; levees)

(5) Water features (e.g., lakes >4 acres; rivers; streams/creeks >10' wide; concrete drains; ponds >1/4 acre; reservoirs; ditches; marsh/swamp; beaches)

(6) Water structures (e.g., bridges; dams; piers; breakwaters; walls; small culverts <10' long; large culverts >10' long; dikes, revetments, wharfs)

- (7) Barriers (e.g., fences; guard rails; walls; retaining walls; rock walls; breakwaters)
- (8) Utilities (e.g., manholes; catch basins; fire hydrants; water valves; traffic signals; traffic signal poles; light poles; utility poles)
- (9) Disturbed areas (e.g., piles; construction site; quarries; open mines; rock outcrops; boulders; disturbed areas)
- (10) Landmark features (e.g., golf course outlines, greens, tees, sandtraps; public playgrounds; athletic fields; open storage; cemeteries; tennis courts; public parks; public monuments)
- (11) Street hardware (e.g., large overhead signs; billboards; bus stop shelters)
- (12) Vegetation features (e.g., tree outlines; single trees >10' canopy; single bushes; brush outline; orchards/nurseries; crops)

An example Scope of Work (SOW) for a planimetric mapping project is in Appendix F.

e. Topographic Mapping. In addition to items in 4-2.b above that are not exclusive to digital orthophotos, project specifications include clarification of source materials to be used for topographic mapping from stereo imagery (e.g., TIFF images and final AT solution); map scale and contour interval of hardcopy topographic maps to be produced (if any); clarification of vertical accuracy in vegetated and non-vegetated terrain (e.g., $RMSE_z$ and/or $Accuracy_z$ at 95% confidence level per NSSDA, and/or equivalent contour interval); guidelines for hydro-flattening (lakes are flat and dual-line streams are level from shore-to-shore) or hydro-enforcement (bridges and culverts are “cut” so that water flows continuously downstream) of a bare-earth DTM or DEM; and specifications that will enable the development of a data dictionary with collection rules (including topology) for populating a geodatabase with any or all of the following topographic features to be mapped:

- (1) Elevation post spacing for gridded DSM and/or bare-earth DTM/DEM
- (2) Breaklines for required feature classes (e.g., closed water body features; linear hydrographic features; coastal shorelines; island features; road features; overpasses and bridges; and low confidence areas where vegetation obscures stereo compilation)
- (3) Contours (e.g., index; intermediate; supplementary; depression; obscured)

4-3. Aerial Photogrammetric Project Planning. Regardless of whether an aerial photogrammetry project pertains to digital orthophotos, 2D planimetric map compilation, 3D topographic map compilation, or generation of various geospatial databases produced by aerial photogrammetry,

project planning always starts with the deliverables to be produced and dates by which those deliverables are due to the client.

a. Review of Project Specifications. Planning is performed after careful review of the project specifications and answering a series of questions: Should maps be compiled to NAD83 (HARN) for the horizontal datum and NAVD88 for the vertical datum? Should elevation data (orthometric heights) be produced by converting from ellipsoid heights using the GEOID12A model? Should the coordinate reference system use the relevant State Plane Coordinate System or Universal Transverse Mercator (UTM) coordinates? Should units be feet or meters? Should U.S. Survey Feet or International Feet be used? If digital orthophotos are to be produced, what image resolution is required, i.e., what should be the pixel size and raw image ground sample distance (GSD) from which the pixels are interpolated? What spectral bands and bit depth are required for the imagery? Are there building lean limits that dictate a higher-than-normal forward overlap and sidelap for orthophotos to appear to look nearly straight down? Are there limits on environmental factors such as shadows, clouds, dust, smoke, visible haze, topography, climate, snow cover, standing water, tidal and river levels? If hardcopy maps are to be produced, what are the published map scale and/or contour interval? Whether hardcopy or digital geospatial data are to be delivered, what are the horizontal and/or vertical accuracy standards to be satisfied? What are the metadata requirements? How are accuracies to be reported in the metadata; will the accuracy be reported using the “Tested ...” or “Compiled to meet ...” criteria specified by the NSSDA?



Figure 4-8. Panchromatic, natural color, and color infrared images captured simultaneously by a digital mapping camera.



Figure 4-9. Higher-resolution panchromatic image and lower-resolution color image produced the “pan-sharpened” color image on the right.



(1) Image Resolution. With aerial imagery, there is no such thing as too much clarity. Modern high-resolution digital mapping cameras improve image clarity over film and can capture imagery with GSD as small as a half inch. Advanced 12-bits per pixel data capture provides much

higher radiometric resolution (4,096 levels of grayscale vs. 256 levels in standard 8-bit imagery) providing a wider tonal range. This provides better visual detail, especially at the extremes of highlight and shadow. Such images are superior for photogrammetric interpretation and analysis, orthophoto production and general viewing by users. Because of the expanded tonal range, images exposed in less than ideal flying conditions can still provide usable information in marginal light conditions and enable interpretation of features in darker shadows. This capability effectively extends the flying day and flying season for acquisition “windows” when natural sunlight provides adequate illumination for features to be seen on the imagery.

(2) Spectral Bands. With modern digital mapping cameras, multiple image products can be captured in a single flight, whereas film cameras are required to separately load different types of film. Figure 4-8 shows examples of panchromatic (grayscale), natural color (RGB) and color infrared (CIR) images, all acquired from the same digital camera in a single flight.

(3) Pan-Sharpened Imagery. In addition to using the three primary image products in their native form, an additional process called “pan-sharpening” can be applied to combine the higher-resolution panchromatic image with the corresponding lower-resolution natural color image to create a new, higher detail color image, as demonstrated with the example at Figure 4-9.

b. Aerial Cameras. Although film cameras may still be used for small projects, the Government prefers the use of modern, calibrated, digital metric cameras, for reasons cited above. Consistent with principles of stereo photogrammetry (see Figure 4-1), two or more images must be captured that view the terrain from different perspectives. Most airborne mapping cameras are either frame cameras or pushbroom (line scanner) cameras. Digital frame cameras, like film cameras, acquire rectangular blocks of imagery that obtain nadir-looking stereo views by acquiring imagery with at least 60% forward overlap between consecutive images as shown in Figure 4-10.

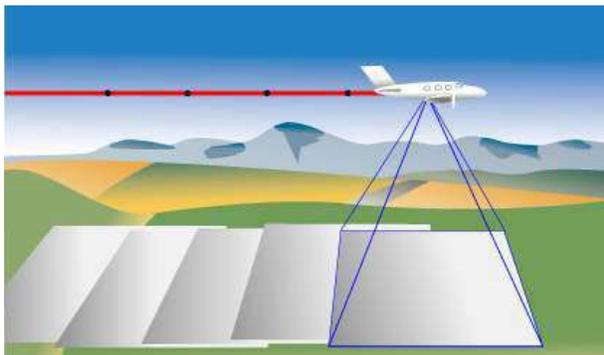


Figure 4-10. Frame cameras acquire rectangular block of images that overlap by at least 60% to acquire stereo views in overlap areas.

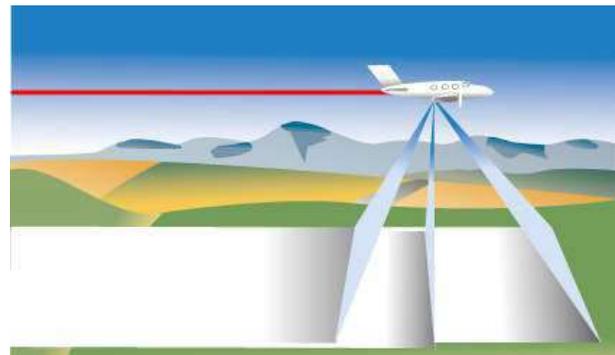


Figure 4-11. Pushbroom cameras acquire single lines of pixels looking downward, forward and backward to acquire multiple stereo view combinations.

c. Pushbroom cameras obtain stereo views by acquiring single lines of pixels that typically scan the terrain with nadir views as well as forward-looking and backward-looking views as shown in Figure 4-11.

(1) Image Properties. For a thorough explanation of digital image processing, readers are encouraged to read Chapter 5 in the 6th edition of the ASPRS *Manual of Photogrammetry* or other reference books on digital image processing. Images from digital cameras are superior to scanned film images due to the absence of film grain and the higher radiometric range of digitally sensed pixels. Film supports a dynamic range of about 8 bits per color channel whereas digital sensing routinely offers 12 to 16 bits. Most imagery for USACE is panchromatic or 3- or 4-band multispectral imagery where 3-band (RGB) imagery provides natural color and the 4th band normally provides color-infrared (CIR) images. The ultimate image property, however, is the ground sample distance (GSD). Compared with 6 inch or 12 inch GSD, a GSD of a few inches enables much smaller features to be resolved, however it presents challenges for area coverage, data storage, and data transmission. Once a GSD is chosen, detector element size, system focal length and altitude will be determined by the acquisition firm and should not be specified by the government. On occasions, USACE also has requirements for hyperspectral imagery with dozens or hundreds of different spectral bands, or thermal imagery used for energy audits of installations or other applications where temperature differences need to be mapped. The following sections assume that vertical imagery is required for photogrammetric mapping, but it is fully recognized that USACE may have special requirements for oblique, panoramic, or even terrestrial imagery; but these are beyond the scope of this manual. After the above details are resolved, a determination will be made as to which camera system can best satisfy user requirements.

(2) Digital Frame Cameras. Digital cameras offer numerous advantages over film-based cameras, to include: superior radiometric resolution; increased accuracy of photogrammetric measurements; reduction of materials and labor costs to produce digital imagery; faster turnaround time from flight to image data; multispectral image acquisition during one flight; electronic forward motion compensation (FMC); clean digital data for better-quality image products (orthophotos); more potential flying days and flying hours per day; completely digital workflow throughout GIS and remote sensing projects. There are numerous small-, medium-, and large-format digital frame cameras used for aerial photogrammetric mapping. This section will review only a few of the most common large-format metric cameras in use today for high-accuracy photogrammetric mapping.

(a) Digital Mapping Camera (DMC). Z/I Imaging's DMC has a strong customer base and is widely used throughout the U.S., especially for engineering applications. As of 2014, the latest model is the DMC IIe (see Figure 4-12) which includes five camera heads, one large format PAN camera using a single optics and single CCD and four multi-spectral cameras for RGB and NIR. Because of its 1.8 second frame rate and more than 14,000 pixel in flight direction (as well as cross-track), the Z/I DMC IIe can be operated at high airspeeds. For example, the user can

collect data with 10cm GSD at 90% forward overlap and 150 knots airspeed. Using fixed wing aircraft, it can collect 3D stereo data from 2cm to 80cm GSD. The Z/I DMC IIe uses four MM30 SD storage modules, each with 1.2 TB capacity for a total storage of 4.8 TB (about 4300 image capacity).

(b) UltraCam. Microsoft has developed numerous large format digital frame cameras in recent years, the latest being the UltraCam Eagle shown at Figure 4-13. The UltraCam Eagle provides panchromatic and four multispectral

bands (red/green/blue and near-infrared), supplying panchromatic images with GSD as small as 1.3 inches (3.25 cm) and color images with GSD of 3.8 inches (9.75 cm), acquired from an altitude of 500 meters, with final panchromatic images of 20,010 x 13,080 pixels or color images of 6,670 x 4,360 pixels. Post-processing of UltraCam imagery is performed with UltraMap and UM/AT extension. Photogrammetric production is performed with the customer's photogrammetric production software or ortho production workflow by GXL Aerial.

(3) Digital Pushbroom Cameras. Leica's ADS40/80/100 Airborne Digital Sensors are pushbroom cameras that sense individual lines that look downward, forward and backward, as shown in Figure 4-11. These cameras offer panchromatic and multispectral bands (red/green/ blue and near-infrared), supplying color images with GSD as small as 1.2 inches (3 cm) without pan-sharpening while flying at 120 knots. Compared with the original ADS40 (see Figure 4-14), the newer ADS80 and current ADS100 have improved sensor heads, providing perfectly co-registered image data with equal resolution in panchromatic, color and color-infrared. The ADS40 and ADS80 collect 8 CCD lines with 12,000 pixels each; the



Figure 4-12. The DMC IIe, which collects frame images (more than 14,000 x 14,000 pixels) as small as 2cm GSD, is commonly used for high accuracy engineering applications.



Figure 4-13. The UltraCam Eagle stores approximately 3.3 terabytes (3,800 images) per replaceable disk storage unit.



Figure 4-14. Leica ADS-40 Airborne Digital Sensor stores 480 GB in each of two mass memory units.

ADS100 collects 13 CCD lines with 20,000 pixels each. Leica's software includes flight planning and evaluation system, flight and sensor control management, advanced inertial position and attitude system, and download and ground processing software. Together, these software systems provide trajectory calculations, georeferencing of each CCD line, and aerial triangulation that includes automatic point measurement and bundle adjustment. Leica's XPro ground processing software provides extremely fast production of DTMs, digital orthophotos and other image products.

d. Camera Calibration. Camera calibration is the process of determining the parameters that describe the precise internal geometrical and optical characteristics of a camera system so that highly accurate photogrammetric mapping and orthophotography can be performed. For example, lens distortion causes a camera lens to fail to image a straight line in object space as a straight line in image space. In Figure 4-1, the lines from o_1 to p_1 to P and o_2 to p_2 to P should be straight lines, but those lines aren't perfectly straight when lens distortion exists. With digital frame cameras, numerous lens distortion coefficients, as well as focal length and principal point coordinates, are parameters determined in a laboratory geometric calibration which models the geometry of a complex camera system which may have multiple camera cones for panchromatic images and/or multiple camera cones for multispectral imagery. With digital line scanners, each panchromatic and multispectral line (forward, backward, and nadir) is calibrated for positioning of each pixel. Geometric and radiometric calibrations are typically performed separately. After the initial factory calibrations, geometric recalibrations should be performed every three years unless there is a major camera rebuild. Radiometric recalibrations are not mandatory on a scheduled basis but should be performed as required to maintain acceptable image quality. For technical details, readers are invited to read a paper by M. Cramer, entitled: "EuroSDR Network on Digital Camera Calibration."

(1) Laboratory calibration. From a classical photogrammetric viewpoint, laboratory calibration is the standard method used for analog film cameras, resulting in well-known USGS camera calibration certificates (in the U.S.), typically repeated within specified time intervals. With digital cameras, laboratory geometric and radiometric calibrations are performed by the camera manufacturer when a new camera is delivered or when a digital camera is rebuilt with a new lens or CCD, for example. With digital frame cameras, laboratory geometric calibration certificates provide initial values of the camera calibration parameters (principal point coordinates, focal length, radial distortion, decentering (tangential) distortion, and other distortions) for each camera head, recognizing that large-format digital cameras have numerous panchromatic camera heads and numerous multispectral camera heads, e.g., four panchromatic heads and four multispectral heads for the DMC and UltraCam digital frame cameras. With digital line scanners, laboratory calibration determines the positioning of each pixel for each panchromatic and multispectral CCD line, including forward-looking, backward-looking and nadir lines. For example, the ADS40 and ADS80 cameras have eight CCD lines with 12,000 pixels each, and the ADS100 camera has 13 CCD lines with 20,000 pixels each.

(2) Field calibration. Laboratory calibration parameters may be subsequently refined with in-flight calibration over test range(s), accounting for the entire camera system including airborne GPS and inertial measurement unit (IMU) that provide direct georeferencing. Experience has shown that digital camera systems can be calibrated at a high accuracy provided that the camera body, lenses, and sensor assemblies have the rigidity required of a metric camera. In addition to laboratory camera calibration, field calibration, including “boresight calibration” of the inertial measurement unit (IMU) orientation angles (roll, pitch and yaw) and “lever arm calibration” of the positional offset between the GPS antenna and the IMU sensor origin, are also required for camera systems that use airborne GPS and IMU sensors for “direct georeferencing.” Regardless of the cameras used, aerial photogrammetric mapping subcontractors must utilize laboratory-calibrated metric cameras with camera calibration certificates that essentially calibrate the location of each pixel on the image. It is up to the practicing professional to establish a field calibration test range where the camera is continually bore-sighted under conditions (including air temperature) similar to that of a specific USACE project. The cost for calibration and other compliance will be borne by the contractor.

(3) Microsoft UltraCam Calibration. Microsoft uses a 3-step process for calibrating its family of UltraCam cameras, including laboratory calibration, the stitching process, and the self-calibration process which images the same targets from multiple tilt/rotation perspectives. The laboratory calibration is based on a highly redundant set of images from a 3D calibration target with hundreds of points known to ± 0.1 mm in X and Y and ± 0.2 mm in Z. One calibration dataset for an UltraCamX, for example, consists of nearly 90,000 measured image points used in a least squares bundle adjustment to determine the principal distance (focal length); coordinates of the principal point; specific UltraCam parameters for each CCD position in the focal plane of each camera cone (shift, rotation, scale and perspective skew of each CCD); and traditional radial and tangential lens distortion parameters for each of the eight lens cones. These parameters provide the basic geometric description of each sensor. Within the post-processing of each frame after the download of the raw images of a photo mission, the transformation parameters between layers of a multi lens cone design are computed via a so-called stitching method. This stitching method includes physical parameters of the camera body. The geometric performance of the camera system is improved by introducing self-calibration capabilities into the process of aerial triangulation. After the geometric laboratory calibration the performance of every UltraCam is verified by a specific validation flight over a well-known test area, using high overlap (80% endlap, 60% sidelap) and cross strips that offer a redundant dataset which allows confirmation of the interior geometry of the camera. Automatic tie point matching with aerial triangulation software yields image coordinates of approximate 1 μ m accuracy. In summary, Step 1 is the laboratory calibration; after this the camera description is available. Step 2 is the stitching; after every flight every single frame needs to be post-processed, and the stitching is one of the major parts of the post-processing. Step 3 is the validation flight; the validation flight is nothing but a first flight mission done with every camera to prove the functionality, making use of the well know test area with ground truth and the flight pattern with large overlaps.

(4) Hexagon (Z/I Imaging) Digital Mapping Camera (DMC) Calibration. The DMC is comprised of eight synchronously operating CCD cameras – four parallel multi-spectral cameras and four panchromatic cameras that are slightly tilted and for which the high-resolution images are mosaicked and transformed into one virtual image equivalent to a normal perspective projection. DMC cameras are factory calibrated both geometrically and radiometrically. Similar to the UltraCam, the DMC geometric calibration certificate for each of the eight camera heads provides principal point coordinates, calibrated lens focal length, radial distortion parameters, decentering distortion parameters, and in-plane distortion parameters. Z/I Imaging uses single head calibrations for each of its eight camera heads, followed by platform calibration of all four panchromatic camera heads that produce the virtual image registered to the four multispectral images. For details on how the DMC is calibrated, see Zeitler, W. & Dörstel, C. (2002). Geometric Calibration of the DMC: Method and Results, Proc. ISPRS Com I Symposium, Denver.

(5) Hexagon (Leica) ADS Camera Calibration. There are currently three types of Airborne Digital Sensors (ADS) in use as line scanners, also called pushbroom scanners. The ADS40 and ADS80 both collect eight CCD lines with 12,000 pixels per line, whereas the ADS100 collects 13 CCD lines with 20,000 pixels per line. The calibration file that accompanies a Leica camera calibration certificate contains calibrated pixel coordinates for each of the 12,000 to 20,000 pixels per CCD line; and the calibration certificate also provides the inertial measurement unit (IMU) misalignment in omega, phi and kappa. The IMU and airborne GPS are essential for line scanner direct georeferencing, an alternative to traditional aerial triangulation with ground control. Leica uses a laboratory calibration followed by self-calibration by bundle adjustment. For details on how ADS cameras are calibrated, see Fuchs, T. & Adiguzel, M., Enhancement & Simplification of Leica ADS Calibration Process.

e. Aircraft/Camera Platforms. Aerial acquisition firms have business models that determine which airborne platform is the most cost-effective for specific projects. This section summarizes considerations for alternative airborne platforms, to include single-engine and twin-engine aircraft, helicopters, and unmanned aerial systems (UASs).



(1) Single-Engine Aircraft. Major advantages of single-engine aircraft for aerial image acquisition are their low costs for initial investment, operation and maintenance. Single-engine aircraft are not allowed to acquire imagery over some cities because there is no back-up should the single engine stall or fail for any reason. Other disadvantages have been their relatively slow rate-of-climb and cruising speeds, low service ceilings and limited space, power and take-off weight to accommodate heavy equipment and crew. In recent decades, single-engine aircraft have been less-used than twin-engine aircraft; but this is changing because modern aerial imaging systems are becoming so automated that aerial image acquisitions can now be performed by a pilot only, without camera operator in some organizations. Furthermore, single-engine aircraft are now available with higher horsepower, higher speeds, and higher service ceilings, e.g., 7600 meter ceiling for the Cessna T210N single turbocharged piston platform.

(2) Dual-Engine Aircraft. Major advantages of dual-engine aircraft for aerial image acquisition are their higher performance (speed and altitude) and broader area coverage from sensors that perform well at higher altitudes. They may be pressurized for operation at higher altitudes. The larger aircraft offer more space for crews, cameras, and ancillary equipment such as a flight management system (FMS), airborne GPS, inertial measurement unit (IMU) and data storage units. Larger dual-engine aircraft are better suited for multiple sensors (e.g., both imagery and LiDAR) with larger support equipment racks and electric power requirements. Weight, power, and system stability must all be assessed prior to data collection. Major disadvantages include higher costs for initial investment, operation and maintenance of dual-engine aircraft. Potential weather delays will also cost more for a dual-engine aircraft than for a single-engine aircraft when idled; therefore, the potential for weather delays may have a bearing on decisions to use a single- or dual-engine aircraft.



Q10

(3) Helicopters. Major advantages of helicopters for aerial image acquisition are their versatility and agility in quickly changing altitudes and direction of flight to follow meandering roads, streams, levees, beaches, or power lines for corridor mapping or for mapping areas inaccessible for fixed-wing aircraft. Helicopters are often used for engineering applications where very high accuracy is required. Major helicopter disadvantages are much higher operational costs per hour.

(4) Unmanned Aerial Systems (UASs). Although not yet approved for use in the U.S., Unmanned Aerial Systems (UASs) are becoming increasingly popular in other countries for acquiring imagery of dangerous areas such as war zones or disaster areas where pilot safety could be jeopardized by fixed- or rotary-wing aircraft flying over volcanoes, radiological, chemical, or biological sites for example. They are also popular because they are far less expensive to operate for simple mapping missions. In some cases, UASs with special sensors are superior in searching for fugitives who would otherwise hide from noisy aircraft flying overhead. Cost comparisons with alternative aerial platforms are currently unavailable, but UAVs are expected to become more common for aerial data acquisition in the future.

f. Flight Planning. Flight planning is always the responsibility of the aerial acquisition contractor. For much of the 20th century, flight maps were prepared manually using USGS topographic quadrangle maps overlaid with project boundaries, flight lines and estimated photo centers for each photograph to be acquired. As explained in section 4-1b, these flight lines and photo centers required complex computations of photo scale (as a function of camera focal length and flying height); air base (distance between exposure stations of each stereo pair of images); base/height ratios to achieve optimum imagery for selected applications; dimension of ground coverage per photograph (as a function of photo scale); dimensions of “neat models” that can be mapped in stereo from overlapping photographs; sidelap and flight line spacing; endlap and spacing between individual photographs; integer number of flight lines (to include coverage outside the project boundary lines); integer number of photographs for each flight line (to include

coverage outside the project boundary), and estimated coordinates of each photo center to be acquired. Today, this flight planning process is automated after entering basic information. Trajectories are planned for each flight line. Furthermore, modern Flight Management Systems (FMS) enable the pilot to fly these trajectories with close tolerance, and modern digital cameras enable exposures to be taken at or near the planned 3D coordinates in the air for each photo center without the highly skilled aerial photographers of the past who looked through viewfinders to determine the aircraft's approximate location and determine when imaging should commence. Whereas the planned X/Y/Z coordinates of photo centers are now relatively easy to control, and modern cameras have some forms of stabilization, the roll, pitch and yaw of the aircraft still depends upon wind conditions. Regardless of camera(s) to be used, flight planning also includes the assessment of military, DHS and other controlled air space where special permits may be required. Flight planning will vary, depending on the characteristics of the film or digital camera to be used and the acquisition aircraft selected for the project.

(1) Planning with Aerial Film Cameras. Many photogrammetrists are experienced with the National Map Accuracy Standard (NMAS, 1947) and the ASPRS Accuracy Standards for Large-Scale Maps (ASPRS, 1990) developed for topographic maps with a published map scale and contour interval. With film, photogrammetric procedures have long been developed for camera calibration, photo acquisition, film processing, aerial triangulation, and photogrammetric compilation to achieve specified photo scales, map scales and/or contour intervals. The principal photo acquisition flight planning parameters included flying height above mean terrain (AMT), camera focal length, percent forward overlap and percent sidelap. Although stereo operator expertise was a factor in determining what contour interval could be compiled, map accuracy was predictable and maps were often accepted without the need for independent QA/QC to ensure that accuracy standards are met. For topographic mapping, a lens with 6-inch (152-mm) focal length was standard, although many firms used a lens with longer focal lengths (up to 12-inch) and flew at higher altitudes to produce imagery more suitable for digital orthophotos. Other than the focal length and flying height, not much else varied in planning for aerial photo acquisition – but the success of a photo acquisition project remained unknown until the film was processed in a photo lab and the imagery was examined to determine if there were any issues. Only then was it known if re-flights were necessary. Even then, faulty optical scanners or faulty scanning procedures sometimes damaged the film, causing the need for re-flights.

(2) Planning with Aerial Digital Cameras. With digital cameras, it no longer makes sense to specify standard flying heights and other standard acquisition parameters. The principal flight planning parameter changes from photo scale to ground sample distance (GSD) with digital cameras; and the GSD is a function of flying height, lens focal length, and the pixel size of the CCD sensors in the camera. With digital frame cameras, the rules for forward overlap and sidelap are basically the same as film, except that it is much easier to acquire digital images with 80% or even 95% forward overlap for production of near “true orthophotos” in urban canyon areas to minimize building lean. With digital pushbroom cameras looking downward, forward and backward, every point on the ground is imaged three times from different angles, providing

imagery with triple overlap everywhere. The major advantage of digital cameras is that the camera operator can view acquired images in flight to determine if correct areas were successfully acquired with cloud-free imagery and determine, in near-real time, whether there is a need to re-acquire selected images. This in-flight review process differs for digital frame cameras like the Digital Mapping Camera (DMC) and UltraCam cameras, or line sensor (pushbroom) cameras like the ADS40, ADS80 or ADS100 airborne digital sensors. With pushbroom cameras, each line scan is totally dependent on airborne GPS (ABGPS) acquisition of X/Y/Z coordinates of the camera lens and inertial measurement unit (IMU) acquisition of roll/pitch/yaw for each line scan for direct georeferencing. Only after processing the digital imagery with auxiliary information (ABGPS and IMU) for direct referencing is it known for sure that pushbroom image acquisition was successful, but this process has become so automated that camera operators can determine in-flight that their acquisitions were successful. With digital cameras, the film magazine is replaced with a ruggedized mass storage unit that stores thousands of digital images for each sortie, subsequently downloaded to different media like removable hard disk drives so that the mass storage unit is quickly ready for the next flight.



(3) Aircraft Planning. Although single piston engine aircraft are still used for some mapping projects, twin engine aircraft are used for the bulk of airborne remote sensing capture needs today. Twin engine aircraft provide efficient operations for sensors up to 20,000 feet above sea level; they are equipped with power sources to handle a suite of modern digital sensors; they offer workspace and comfort to the pilot and camera operator; and the twin engines provide additional safety in the event a single engine should stall. Maintenance, operation, ferry and collection costs can be quite variable among different twin engine aircraft. For altitudes above 20,000 feet, performance is improved when using turboprop or jet aircraft instead of piston aircraft.

(4) Flight Line Planning. Flight maps should be prepared by the contractor. Such maps are produced pre-flight with planned flight lines and photo centers. Figure 4-15 shows a flight diagram with 21 planned flight

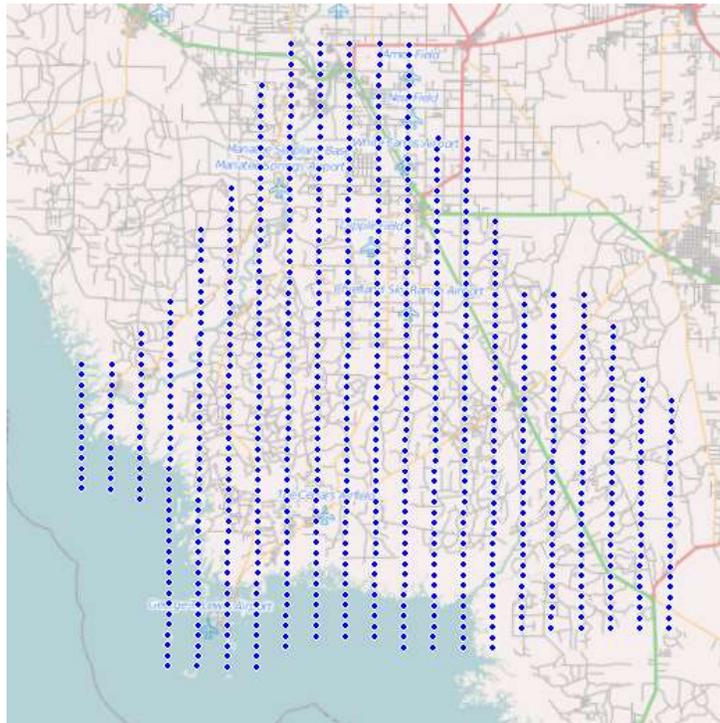


Figure 4-15. Aerial photography flight map showing pre-planned flight lines and photo centers to achieve desired forward overlap and sidelap.

lines and photo-center coordinates for images to be acquired. Automated flight planning software computes flight parameters to ensure proper photo scale (or digital image GSD), end lap, side lap, terrain clearance and stereo coverage extending well beyond the project boundaries. With modern Flight Management Systems on acquisition aircraft, and an experienced crew, actual photo center coordinates (in the air) when acquired are often within 1 meter of pre-planned coordinates.

4-4. Ground Control. This section covers ground control requirements for photogrammetric mapping projects so as to establish *local accuracy* and/or *network accuracy*. *Local accuracy* is a value that represents the uncertainty in the coordinates of a data point relative to the coordinates of other directly connected, adjacent data points at the 95% confidence level; local accuracy may be used for stand-alone projects where it is not necessary for the mapping project to fit adjoining projects or a national network. *Network accuracy*, most commonly used by USACE, is a value that represents the uncertainty in the coordinates of a control point with respect to the geodetic datum at the 95% confidence level. For National Spatial Reference System (NSRS) network accuracy classifications in the United States, the datum is considered to be best expressed by the geodetic values at the Continuously Operating Reference Stations (CORS) supported by the National Geodetic Survey (NGS). By this definition, the network accuracy values at CORS sites are considered to be infinitesimal, that is, to approach zero. It is not necessary to directly connect to a CORS to compute the network accuracy of a control point; however, it is necessary that the survey be properly connected to existing NSRS control points with established network accuracy values.

a. Control Survey References. Control surveys associated with USACE projects shall be in compliance with the FGDC Geospatial Positioning Accuracy Standards, Part 4: Standards for Architecture, Engineering, Construction (A/E/C) and Facility Management. The fundamental requirements for control network configuration, point location, and image characteristics are discussed in this EM manual. However, the overview presented is not intended to be used for field survey design or survey procedural instruction. The USACE specification writer or photogrammetric engineer should refer to appropriate survey standards and specifications for guidance in designing the project control surveys. Current standards should be employed. Outdated unrevised standards can provide outdated technology and procedure guidance and cost the Government unnecessary time and money. Listed below are some of the other current (at the time of the publication of this Engineering Manual) publications that may be used.

(1) FGDC Geospatial Positioning Accuracy Standards, Part 2, Standards for Geodetic Networks, 1998

(2) EM 1110-1-1002 Survey Markers and Monumentation, September 1990

(3) EM 1110-1-1003 Navstar Global Positioning System Surveying, February 2011

(4) NOAA Technical Memorandum NOS NGS-58, Guidelines for Establishing GPS-Derived Ellipsoid Heights (Standards: 2 cm and 5 cm), version 4.3, November 1997

(5) NOAA Technical Memorandum NOS NGS-59, Guidelines for Establishing GPS-Derived Orthometric Heights, March 2008

(6) ASPRS Positioning Accuracy Standards for Digital Geospatial Data, 2014

b. Ground Control Requirements for Photogrammetric Mapping. Field surveying for photogrammetric ground control is generally a two-step process to establish basic and photo control. If ground control is being established for the purpose of orienting stereo models in a stereo photogrammetric workstation, an ASPRS Certified Photogrammetrist shall be involved in planning the basic and photo control requirements for aerial triangulation.

(1) Basic Control. The first step consists of establishing a network of basic control in the project area. This basic control consists of horizontal control monuments and benchmarks of vertical control that will serve as a reference framework for subsequent surveys. The basic control network is normally established using GPS surveys relative to CORS stations, with negligible positional errors, or to control monuments documented with NGS Data Sheet positional accuracy statements. Whereas NGS previously published Orders of Accuracy based on proportional accuracy statements (with orders AA, A and B being the most accurate), since the national adjustment of 2007, NGS has published the accuracy of the horizontal and ellipsoid height components of the National Spatial

Reference System (NSRS) as a positional accuracy statement, e.g., 0.41 cm horizontal accuracy at the 95% confidence level per the example at Figure 4-16. Go to <http://www.ngs.noaa.gov>, then click on Survey Mark Datasheets and/or CORS for a defined search area. If a control network of horizontal control monuments and vertical control benchmarks does not exist, a

The NGS Data Sheet

See file [dsdata.txt](#) for more information about the datasheet.

```
PROGRAM = datasheet95, VERSION = 7.89.3.1
1 National Geodetic Survey, Retrieval Date = AUGUST 27, 2012
AJ4599 *****
AJ4599 HT_MOD - This is a Height Modernization Survey Station.
AJ4599 CBN - This is a Cooperative Base Network Control Station.
AJ4599 DESIGNATION - FAIRFAX COUNTY EAST
AJ4599 FID - AJ4599
AJ4599 STATE/COUNTY- VA/FAIRFAX
AJ4599 COUNTRY - US
AJ4599 USGS QUAD - FALLS CHURCH (1994)
AJ4599
AJ4599 *CURRENT SURVEY CONTROL
AJ4599
AJ4599 NAD 83(2011) POSITION- 38 55 43.18356(N) 077 08 47.67437(W) ADJUSTED
AJ4599* NAD 83(2011) ELLIP HT- 52.373 (meters) (06/27/12) ADJUSTED
AJ4599* NAD 83(2011) EPOCH - 2010.00
AJ4599* NAUD 88 ORTHO HEIGHT - 84.26 (meters) 276.4 (feet) GPS OBS
AJ4599
AJ4599 NAD 83(2011) X - 1,105,247.067 (meters) COMP
AJ4599 NAD 83(2011) Y - -4,843,853.080 (meters) COMP
AJ4599 NAD 83(2011) Z - 3,986,192.174 (meters) COMP
AJ4599 LAPLACE CORR - -2.36 (seconds) DEFLECO9
AJ4599 GEOID HEIGHT - -31.88 (meters) GEOID12
AJ4599
AJ4599 FGDC Geospatial Positioning Accuracy Standards (95% confidence, cm)
AJ4599 Type Horiz Ellip Dist(km)
AJ4599
AJ4599 NETWORK 0.41 0.98
AJ4599
AJ4599 MEDIAN LOCAL ACCURACY AND DIST (067 points) 0.53 1.22 43.81
AJ4599
AJ4599 NOTE: Click here for information on individual local accuracy
AJ4599 values and other accuracy information.
```

Figure 4-16. Sample NGS Data Sheet that shows horizontal and vertical network accuracy at the 95% confidence level. Much additional data is also included with NGS Data Sheets beyond what is shown here.

control network will first need to be established per references cited above. Figure 4-16 shows an example NGS Data Sheet with the red arrow pointing at the horizontal and vertical network accuracy at the 95% confidence level. In addition to data shown here, Data Sheets typically also include additional information such as: State Plane and UTM coordinates; U.S. National Grid spatial address; explanations of how horizontal coordinates, ellipsoid heights and orthometric heights were determined; station description and instructions for finding the monument; station recovery history, etc.

(2) Photo Control. The second step involves establishing photo control by means of surveys originating from the basic control network of higher accuracy. Photo control points are photo-identifiable points that can be observed and measured on the aerial photograph and in a stereo model. Photo control points are the actual points appearing in the images, either pre-marked painted targets (Figure 4-17) or removable panels (Figure 4-18), or photo-identifiable points, as in Figures 4-19 and 4-20, that are surveyed relative to the basic control network and used to control photogrammetric operations.



(a) Pre-Marked Targets or Panels. Dimensions of painted and removable targets or panels are computed as a function of planned photo scale or digital image resolution so that the targets or panels



Figure 4-17. Painted photo control targets are commonly used when paved roads are available in desired locations.

are visible on the imagery and can be accurately measured. Removable panels are typically constructed from plastic or cardboard with colors that contrast with the surrounding terrain. Such temporary panels are normally used in remote areas

where there are no man-made features that can be used or painted on concrete or asphalt. When permanent paint marks are considered unsightly, biodegradable paint can be used that will disappear in time. For planning purposes, care must be taken to ensure removable targets are not disturbed/moved prior to image acquisition, and permission and right of entry must be obtained from the land owner. Pre-marked targets or panels must be established prior to commencement of aerial photography.

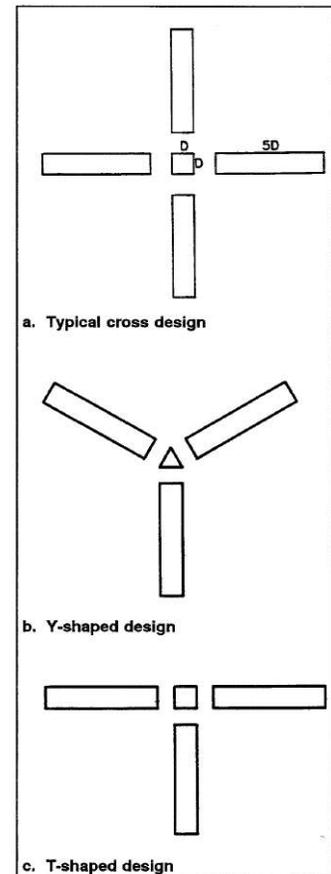


Figure 4-18. Three typical shapes of removable photo control panels.

(b) Photo-Identifiable Points. Figures 4-19 and 4-20 illustrate a good example of a point feature that is photo-identifiable at common image resolutions. Figure 4-19 shows how the 90-degree corner between trimmed grass and concrete provides a clear survey point for the GPS tripod and antenna used for photo control surveys; and Figure 4-20 shows how that 90-degree corner appears clearly on an image requiring photo-identifiable control. Photo-identifiable points can be established after commencement of aerial photography, especially if pre-marked targets or panels were obscured or disturbed at time of image acquisition. If not used for photo control, such photo-identifiable points are commonly used as QA/QC check points for accuracy testing.



Figure 4-19. Photo control or QA/QC check point surveyed at 90° corner of grass and concrete.



Figure 4-20. The corner is clearly photo-identifiable on the image to be controlled or tested.

(3) Control Point Location/Distribution. Figure 4-21 shows the location of nine “Von Gruber points” selected in the triple overlap areas of adjoining photographs when airborne GPS is not used to provide control. The number of control points and their optimum locations depend on whether or not direct georeferencing is used from airborne GPS recordings of X/Y/Z coordinates and inertial navigation system (INS) recording of angular orientation angles $\omega/\phi/\kappa$ (roll, pitch, and heading) for each image. Prior to the use of direct georeferencing, it was common practice that each stereo pair of images should have three or four horizontal and vertical control points widely spaced in the corners of stereo models to cover overlapping flight lines. However, with modern digital cameras and direct georeferencing systems, as few as four control points may be adequate for an entire block of images in a single aerial triangulation. Automated image correlation of hundreds of tie points and pass points per stereo pair also helps to strengthen the aerial triangulation solution better than in the past when a high number of expensive control points were used. Care should be exercised to ensure that photo control points do not fall in shadowed areas.

(a) Without Airborne GPS. When airborne GPS control is *not* used to record the 3D coordinates of the camera lens' focal point when each image is acquired, then it is common to survey photo control in one of three central "Von Gruber points" (Figure 4-21) in the triple-overlap area in each third stereo model (also see Figure 4-6). In this example, points d, e or f would provide minimum photo control for the first, second and third images. Continuing this sequence, points m, n or o would provide minimum photo control for the fourth, fifth and sixth images. Therefore, there are approximately one-third as many photo control points required as there are images acquired. See the aerial triangulation section, below, for establishment of supplemental photo control (*pass points* and *tie points*).

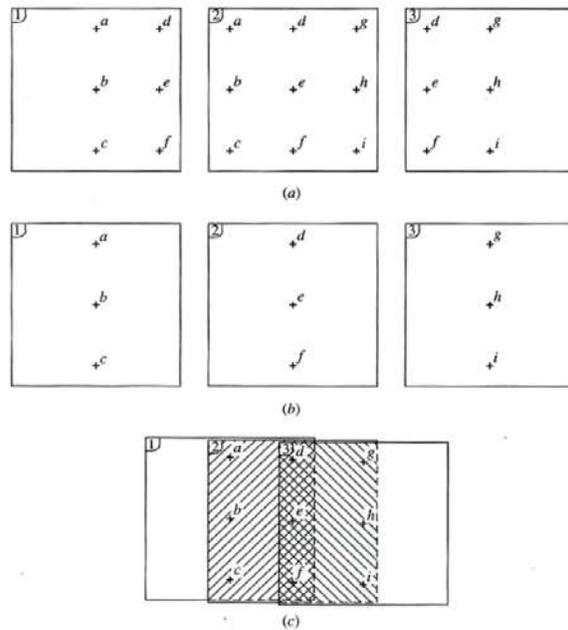


Figure 4-21. Von Gruber points. With 60% forward overlap, any of these nine locations (*a* through *i*) on an aerial image, in the triple-overlap area, can enable photo control to be established for three consecutive images over land areas. With 30% sidelap between flight lines, points *c*, *f* or *i* can also tie adjoining flight lines together.

(b) With Airborne GPS. When airborne GPS is used and integrated into the aerial triangulation, the amount of photo control points can be greatly reduced. Airborne GPS projects require a block of imagery that includes the mapping area. Photo control point configuration for an airborne GPS project should include horizontal and vertical points at defining corners of the block plus selected skeletal horizontal/vertical points selected throughout the block. The amount of additional skeletal primary ground control is based on considerations such as map accuracy, terrain, geoid model in the project area, equipment, and available network control. A Contractor with proven experience should be used for airborne GPS projects. The amount and location of ground control necessary is site dependent. The primary ground control points and airborne GPS points (at photo centers) will all be used in the aerial triangulation solution.

c. Photogrammetric Mapping Accuracy Requirements. The accuracy of the basic control network is of higher order than subsequent photo control surveys; however, USACE does not dictate survey control requirements – only the required accuracy of the photogrammetric products as delivered. Nevertheless, the following subsections are from the *ASPRS Positioning Accuracy Standards for Digital Geospatial Data* that define best practices within ASPRS.

(1) Accuracy Requirements for Aerial Triangulation. The following is quoted from section 7.7 of the *ASPRS Positional Accuracy Standards for Digital Geospatial Data* (see Appendix C).

“The quality and accuracy of the aerial triangulation (if performed) and/or the Inertial Navigation System –based (INS-based) sensor orientations (if used for direct orientation of the camera) play a key role in determining the final accuracy of imagery derived mapping products. For photogrammetric data sets, the aerial triangulation and/or INS-based direct orientation accuracies must be of higher accuracy than is needed for the final, derived products. For INS-based direct orientation, image orientation angles quality shall be evaluated by comparing check point coordinates read from the imagery (using stereo photogrammetric measurements or other appropriate method) to the coordinates of the check point as determined from higher accuracy source data. Aerial triangulation accuracies shall be evaluated using one of the following methods:”

“1) By comparing the values of the coordinates of the check points as computed in the aerial triangulation solution to the coordinates of the check points as determined from higher accuracy source data;

“2) By comparing the values of the coordinates read from the imagery (using stereo photogrammetric measurements or other appropriate method) to the coordinates of the check point as determined from higher accuracy source data.”

“For projects providing deliverables that are only required to meet accuracies in x and y (orthoimagery or two-dimensional vector data), aerial triangulation errors in z have a smaller impact on the horizontal error budget than errors in x and y. In such cases, the aerial triangulation requirements for $RMSE_z$ can be relaxed. For this reason the standard recognizes two different criteria for aerial triangulation accuracy:”

“1) Accuracy of aerial triangulation designed for digital planimetric data (orthoimagery and/or digital planimetric map) only:

$$RMSE_{x(AT)} \text{ or } RMSE_{y(AT)} = \frac{1}{2} * RMSE_{x(Map)} \text{ or } RMSE_{y(Map)}$$

$$RMSE_{z(AT)} = RMSE_{x(Map)} \text{ or } RMSE_{y(Map)} \text{ of orthoimagery}$$

“Note: The exact contribution of aerial triangulation errors in z to the overall horizontal error budget for the products depends on ground point location in the image and other factors. The relationship stated here for an $RMSE_z$ (AT) of twice the allowable $RMSE$ in x or y is a conservative estimate that accommodates the typical range of common camera geometries and provides allowance for many other factors that impact the horizontal error budget.

“2) Accuracy of aerial triangulation designed for elevation data, or planimetric data (orthoimagery and/or digital planimetric map) and elevation data production:

$$RMSE_{x(AT)}, RMSE_{y(AT)} \text{ or } RMSE_{z(AT)} = \frac{1}{2} * RMSE_{x(Map)}, RMSE_{y(Map)} \text{ or } RMSE_{z(DEM)}^*$$

The full ASPRS standards are at Appendix C, for which Annex B, Data Accuracy and Quality Examples, provides practical examples of these AT requirements.

(2) Horizontal Accuracy Requirements for Digital Orthophotos. Different RMSE values may be appropriate for different applications as shown in Table 4-1, extracted from Table 3-4.

Table 4-1. Digital Orthoimagery Accuracy Examples for Current Metric Large and Medium Format Cameras (Metric Units), abbreviated from Table 3-4. See Chapter 3 for a similar table in English units.

Common Orthoimagery Pixel Sizes ¹	Recommended Horizontal Accuracy Class RMSE _x & RMSE _y (cm)	Orthoimage RMSE _x & RMSE _y in terms of pixels	Recommended use
1.25 cm	≤1.25	≤1-pixel	Highest accuracy
	2.5	2-pixels	Standard high accuracy
	≥3.75	≥3-pixels	Lower accuracy - visualization
2.5 cm	≤2.5	≤1-pixel	Highest accuracy
	5	2-pixels	Standard high accuracy
	≥7.5	≥3-pixels	Lower accuracy - visualization
5 cm	≤5	≤1-pixel	Highest accuracy
	10	2-pixels	Standard high accuracy
	≥15	≥3-pixels	Lower accuracy - visualization
7.5 cm	≤7.5	≤1-pixel	Highest accuracy
	15	2-pixels	Standard high accuracy
	≥22.5	≥3-pixels	Lower accuracy - visualization
15 cm	≤15	≤1-pixel	Highest accuracy
	30	2-pixels	Standard high accuracy
	≥45	≥3-pixels	Lower accuracy - visualization
30 cm	≤30	≤1-pixel	Highest accuracy
	60	2-pixels	Standard high accuracy
	≥90	≥3-pixels	Lower accuracy - visualization
60 cm	≤60	≤1-pixel	Highest accuracy
	120	2-pixels	Standard high accuracy
	≥180	≥3-pixels	Lower accuracy - visualization

¹ It should be noted that in Table 4-1, it is the pixel size of the final digital orthoimagery that is used to associate the horizontal accuracy class, not the Ground Sample Distance (GSD) of the raw image. When producing digital orthoimagery, the GSD as acquired by the sensor (and as computed at mean average terrain) should not be more than 95% of the final orthoimage pixel size. In extremely steep terrain, additional consideration may need to be given to the variation of the GSD across low lying areas in order to ensure that the variation in GSD across the entire image does not significantly exceed the target pixel size. In all cases, the orthoimage mosaic seamline maximum mismatch is 2 x the value for RMSE_x and RMSE_y, and horizontal accuracy at the 95% confidence level is 2.4477 x the value for RMSE_x and RMSE_y.

As explained in Chapter 3, horizontal accuracy standards for digital orthophotos could be any horizontal accuracy class for which $RMSE_x$ and $RMSE_y$ is specified (see Table 4-2).

Table 4-2 Horizontal Accuracy Standards for Digital Geospatial Data

Horizontal Accuracy Class	Absolute Accuracy			Relative Accuracy Measures
	$RMSE_x$ and $RMSE_y$ (cm)	$RMSE_r$ (cm)	Horizontal Accuracy at 95% Confidence Level (cm)	Orthoimagery Mosaic Seamline Mismatch (cm)
X -cm or X -inch	$\leq X$	$\leq 1.41 * X$	$\leq 2.45 * X$	$\leq 2 * X$

(3) Horizontal Accuracy Requirements for Planimetric Maps. Similarly, as explained in Chapter 3, horizontal accuracy standards for digital planimetric maps could be any horizontal accuracy class for which $RMSE_x$ and $RMSE_y$ is specified (see Table 4-2). However, different RMSE values may be appropriate for different ground sample distances (GSDs) of source imagery and/or for intended map scales, as shown in Table 4-3 below. For standard high accuracy applications, the map scale listed in the Table 4-3 column for ASPRS 1990 Class 1 remains relevant also for the new ASPRS Accuracy Standards for Digital Geospatial Data.

Table 4-3 Horizontal Accuracy/Quality Examples for High Accuracy Digital Planimetric Data (Metric Units) See Chapter 3 for a similar table in English units.

ASPRS 2014				Equivalent to map scale in		Equivalent to map scale in NMAS
Horizontal Accuracy Class $RMSE_x$ & $RMSE_y$ (cm)	$RMSE_r$ (cm)	Horizontal Accuracy at the 95% Confidence Level (cm)	Approximate GSD of Source Imagery (cm)	ASPRS 1990 Class 1	ASPRS 1990 Class 2	
2.5	3.5	6.1	1.25 to 2.5	1:100	1:50	1:63
5	7.1	12.2	2.5 to 5	1:200	1:100	1:127
7.5	10.6	18.4	3.75 to 7.5	1:300	1:150	1:190
10	14.1	24.5	5 to 10	1:400	1:200	1:253
15	21.2	36.7	7.5 to 15	1:600	1:300	1:380
20	28.3	49.0	10 to 20	1:800	1:400	1:507
30	42.4	73.4	15 to 30	1:1,200	1:600	1:760
60	84.9	146.9	30 to 60	1:2,400	1:1,200	1:1,521
100	141.4	244.8	50 to 100	1:4,000	1:2,000	1:2,535
200	282.8	489.5	100 to 200	1:8,000	1:4,000	1:5,069

(4) Elevation Accuracy Requirements for Topographic Maps. Vertical accuracy standards for topographic maps and data could be any vertical accuracy class for which $RMSE_z$ is specified (see Table 4-4).

Table 4-4 Vertical Accuracy Standards for Digital Elevation Data

Vertical Accuracy Class	Absolute Accuracy			Relative Accuracy (where applicable)		
	RMSE _z Non-Vegetated (cm)	NVA at 95% Confidence Level (cm)	VVA at 95 th Percentile (cm)	Within-Swath Hard Surface Repeatability (Max Diff) (cm)	Swath-to-Swath Non-Vegetated Terrain (RMSD _z) (cm)	Swath-to-Swath Non-Vegetated Terrain (Max Diff) (cm)
X-cm or X-inch	≤X	≤1.96*X	≤3.00*X	≤0.60*X	≤0.80*X	≤1.60*X

(5) Table 4-5 specifies primary ASPRS and USACE vertical data accuracy classes applicable to topographic maps or digital topographic data compiled at ten vertical accuracy classes. The last two columns on the right pertain to LiDAR swaths and non-relevant to photogrammetric data.

Table 4-5 Vertical Accuracy/Quality Examples for Digital Elevation Data (Metric Units). See Chapter 3 for a similar table in English units.

Vertical Accuracy Class	Absolute Accuracy (cm)				Relative Accuracy Max Diff (cm) (where applicable)	
	RMSE _z Non-Vegetated	NVA at 95% Confidence Level	VVA at 95 th Percentile	Appropriate Contour Interval	Within-Swath Hard Surface Repeatability	Swath-to-Swath Non-Veg Terrain
1-cm	1	2	3	3	0.6	1.6
2.5-cm	2.5	4.9	7.5	7.5	1.5	4
5-cm	5	9.8	15	15	3	8
10-cm	10	19.6	30	30	6	16
15-cm	15	29.4	45	45	9	24
20-cm	20	39.2	60	60	12	32
33.3-cm	33.3	65.3	100	100	20	53.3
66.7-cm	66.7	130.7	200	200	40	106.7
100-cm	100	196.0	300	300	60	160
333.3-cm	333.3	653.3	1,000	1,000	200	533.3

d. Survey Control Planning. In planning for aerial photogrammetric mapping projects, managers must be familiar with FGDC-STD-007.4-2002, Geospatial Positioning Accuracy Standards PART 4: Standards for Architecture, Engineering, Construction (A/E/C) and Facility Management, as well as CHAPTER 3 of this manual, Applications and Accuracy Standards, specifically Table 3-4 or Table 3-5, Orthoimagery Horizontal Accuracy Standards; Table 3-6 or

Table 3-7, Planimetric Data Horizontal Accuracy Standards; and Table 3-8 or Table 3-9, Elevation Data Vertical Accuracy Standards. Other references relevant to mapping control include EM 1110-1-1002, Survey Markers and Monumentation; EM 1110-1-1003, Navstar Global Positioning System Surveying; NOAA Technical Memorandum NOS NGS-58, Guidelines for Establishing GPS-Derived Ellipsoid Heights (Standards: 2 cm and 5 cm), version 4.3; and NOAA Technical Memorandum NOS NGS-59, Guidelines for Establishing GPS-Derived Orthometric Heights. These standards and guidelines are relevant to the three forms of mapping control for aerial photogrammetric mapping, i.e., ground control, airborne GPS control, and quality control check points.

(1) Ground Control Planning. In planning for ground control surveys, several questions need to be answered: Q1: Will airborne GPS be used to record the approximate coordinates of the camera as each exposure is taken? If “yes,” the requirements for basic survey control and photo control will be greatly reduced. Q2: For basic survey control, is there already a network of accurate survey control in the project area that can be used to establish network accuracy for subsequent GPS or conventional ground surveys? See network accuracy reference in sample NGS Data Sheet example at Figure 4-16. Q3: For photo control in areas without existing photo-identifiable point features, are there impervious surfaces around the project area suitable for painted targets (see Figure 4-17), or will removable survey panels (see Figure 4-18) be required to establish photo-identifiable targets in required locations? Q4: For photo control, is the area to be mapped a built-up area with existing photo-identifiable point features throughout the project area that can be surveyed for photo control? See photo-identifiable feature examples at Figures 4-19 and 4-20.

(2) Airborne GPS Control. In most photomapping companies, it is now common to include ABGPS for recording the 3D (X/Y/Z) coordinates of the camera’s focal point, plus an inertial measurement unit (IMU) for recording the roll, pitch and yaw of the camera, when each photo/image is taken (see Figure 4-22). This is called *direct georeferencing*. When six exterior orientation parameters of each image (X/Y/Z and roll/pitch/yaw) are known, requirements for surveyed ground control are greatly reduced and the aerial triangulation process is simplified. With digital pushbroom cameras (e.g., ADS40/ADS80/ADS100 airborne digital sensors), ABGPS and IMU are mandatory but either or both are recommended for film or digital frame cameras such as the UltraCam or Digital Mapping Camera (DMC). Planning for ABGPS control includes consideration of the project area (size and site access for base stations); flight times and maximum

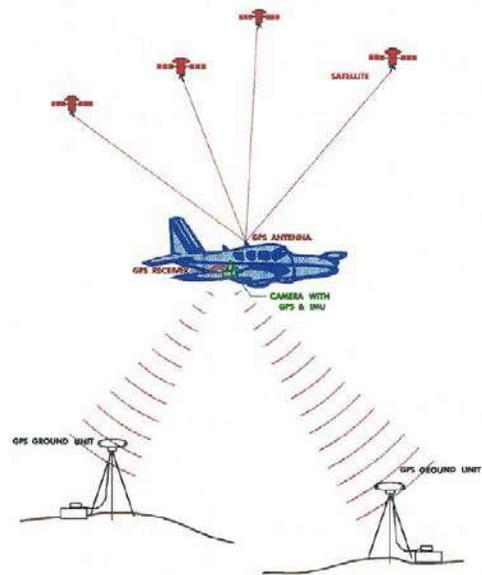


Figure 4-22. With direct georeferencing, airborne GPS (ABGPS) records the position and the inertial measurement unit (IMU) records the orientation as each photo/image is acquired.

allowable GPS baseline distance between GPS base stations and aircraft; basic photogrammetric and aerial triangulation requirements, including forward overlap between images and sidelap between flight lines; satellite availability during the collection period; location of suitable GPS base stations; location and number of ground GPS receivers required; data collection rate for the receivers; aircraft and ground crew logistics (base stations and aircraft receivers must use the same satellite configuration and limitations); calibration of antenna/ camera “lever arm” offset; ABGPS system cost; and experience of pilot, operator and post-processing personnel. Furthermore, back-up alternatives should be planned for in the event the ABGPS/IMU data are unusable. ABGPS receivers must be capable of tracking both coarse acquisition (C/A) and pseudo range (P-code) data. They must provide dual frequency (L1 and L2) and multi-channel capability with on-the-fly ambiguity resolution and be able to log GPS data at 1-second epochs or better. ABGPS is not necessarily less expensive than obtaining conventional ground control, though it is generally recognized that projects for 1”=100’ mapping with 2 foot contour accuracy or smaller can realize significant savings in time with the use of ABGPS. ABGPS technology usually provides maximum benefit for projects that can be accomplished in larger blocks of photography with multiple flight lines. This technology has been highly successful on USACE mapping projects to date.

(3) Pre-Marking of Photo Control. Pre-marking of photo control points is recommended for high accuracy mapping. Marking control points with targets before the flight is the most reliable and accurate way to establish photo control points. Appropriate survey points in the basic control network can also be targeted to make them photo-identifiable. When the terrain is relatively featureless, targeting will improve point identification accuracy and reliability; however, pre-marking is also a significant expense in terms of survey hours, purchased materials, and the fact that the targets must be placed and maintained in the field until flying is completed. The target itself should be designed to produce the best possible photo control image by means of a target design that has good color contrast, is symmetrical in shape, can be readily centered over the control point, and is a target size that yields a satisfactory visible image on the resulting imagery.

(a) Target Location. The optimum location for photo control points is in the triple overlap area of adjoining images (see Figure 4-21, points d, e and f). However, when control is pre-marked, it is difficult to ensure that the target will fall in the center of the triple overlap area when the photography is flown. Care should be taken that targets are not located too near the edge of the strip coverage where the target might fall outside of the model.

(b) Target Material. Targets may be made of cloth or plastic or may be painted on plywood, fiberboard, or similar sheet material, or on pavement or flat rock outcrops. Flexible targets may be made by assembling pieces of the material to form the pattern, or by printing the pattern on sheet material. Cloth, paint, and other material used for targets should have a non-glossy matte surface. Targets should be held in place by spikes, stakes, small sandbags, or any other means necessary to keep them in position and maintain flatness.

(c) Target Shape. Targets should be symmetrical in design to aid the photogrammetric operator in finding and measuring the control point. Panels can be developed in X, Y, T and V shapes. The center portion of the panel should be centered over the survey control point, as this is the point at which the image measurements will be made. The legs of the cross-pattern help in identifying the targets on the photos, and also in determining the center of the target indirectly should the image of the center panel turn out to be unclear.

(d) Target Size. Target sizes should be designed on the basis of intended photo scale so that the target images are the optimum size for finding and measuring on the photos. With digital cameras, the target size is related to the ground sample distance (GSD) or pixel size of the raw imagery. An image size of about five square pixels or less for the central panel is a convenient design value. If the ground dimension of the central panel of the target in Figure 4-18 is D , then the leg width should also be D , the leg length should be about $5D$, and the open space between the central panel and each leg should be D . Target sizes are readily calculated once photo scale and optimum target image size are selected. If, for example, a central panel size of 3 pixels is desired, and imagery is to be acquired with a GSD of 10 cm, then D should be 30 cm.

(e) Target Maintenance. All targets should be maintained in place and protected from (or restored after) damage by man, animals, or weather until photography has been completed. As soon as feasible after photography, each target should be inspected to check whether it has been moved from its proper position or otherwise disturbed in any way; this fact should be reported in the photo control survey report. Damaged or lost targets will require that the photography on which the targets should have appeared to be replaced with a new flight, if the lost targets will jeopardize meeting the accuracy requirement for the photogrammetric product. As an alternative to replacing or relocating lost targets and replacing the deficient photography, it may be permissible (depending on accuracy requirements) to substitute natural features for the lost targets, when acceptable natural objects are present and suitably located to replace lost targets.

(4) Post-Marking of Photo-Identifiable Control. Post-marking of photo control points after the photography is flown is a method that may be used for lower accuracy mapping. The postmarking method consists of examining the photography after it is flown and choosing natural features in the image that most closely meet the characteristics for horizontal or vertical photo control points (see Figures 4-19 and 4-20 for example). The selected features are then located in the field by reconnaissance and then field surveyed from local basic control monuments. One advantage of postmarking photo control points is that the control point can be chosen in optimum locations at the corners of the stereo models and in the triple overlap areas. The principal disadvantage of postmarking is that the natural feature may not be as well defined as a targeted survey monument either in the field or on the image.

e. Ground Control Surveys. Section 4-4a, above, provides the major control survey references. According to FGDC Standards, Part 4, the accuracy of control surveys may be

specified as either positional tolerance accuracy or relative closure ratio accuracy, but stating: “Where practical and allowable, positional accuracy standards should be used instead of closure accuracy standards.” The recommended surveying specifications for USACE applications were previously listed in Table 3-27 which establishes positional accuracy standards as a function of RMSE. In all cases Horizontal (radial) accuracy at the 95% confidence level = $RMSE_r * 1.7308$ and Vertical accuracy at the 95% confidence level = $RMSE_z * 1.9600$.

(1) Conventional Surveys. If GPS-based positional accuracy procedures cannot be used, then the closure standards from the FGDC Standards, Part 4, may be used, as listed in Tables 4-6 and 4-7 for conventional horizontal and elevation surveys.

Table 4-6. Minimum Closure Standards for Engineering and Construction Control Surveys

Classification Order	Horizontal Closure Standard	
	Distance (Ratio)	Angle (Seconds)
Engineering and Construction Control		
Second-Order, Class I	1:50,000	$3\sqrt{N}$
Second-Order, Class II	1:20,000	$5\sqrt{N}$
Third-Order, Class I	1:10,000	$10\sqrt{N}$
Third-Order, Class II	1:5,000	$20\sqrt{N}$
Fourth-Order (Constr.)	1:2,500	$60\sqrt{N}$

Table 4-7. Minimum Elevation Closure Standards for Vertical Control Surveys

Classification Order	Elevation Closure Standard	
	(ft.)	(mm)
First-Order, Class I	$0.013\sqrt{M}$	$3\sqrt{K}$
First-Order, Class II	$0.017\sqrt{M}$	$4\sqrt{K}$
Second-Order, Class I	$0.025\sqrt{M}$	$6\sqrt{K}$
Second-Order, Class II	$0.035\sqrt{M}$	$8\sqrt{K}$
Third-Order	$0.050\sqrt{M}$	$12\sqrt{K}$
Construction Layout	$0.100\sqrt{M}$	$24\sqrt{K}$

\sqrt{N} = square root of N (number of angle stations)

\sqrt{M} = square root of M (distance in miles)

\sqrt{K} = square root of K (distance in kilometers)

(2) Survey Report. Unless otherwise modified by the contract specifications, the following materials will be delivered to the Government upon completion of the control surveys:

(a) General report describing the project and survey procedures used including description of the project area, location, and existing control used; description of the basic and photo control survey network and geometry; description of the survey instruments and field methods used; description of the survey adjustment method and results such as closures and precision of adjusted positions; justification for any survey points omitted from the final adjusted network.

(b) One set of images showing all control points. The points should be symbolized and named on the image, and the point location coordinates should be included.

(c) A list of the adjusted coordinates of all horizontal and vertical basic and photo control points.

f. QA/QC Check Point Surveys. Quality Assurance/Quality Control (QA/QC) check points are used to test the horizontal accuracy of digital orthophotos and planimetric data (see $RMSE_x$, $RMSE_y$ and $RMSE_r$ in Tables 3-4 through 3-7 in Chapter 3) and/or to test the vertical accuracy of elevation data (see $RMSE_z$ in Tables 3-8 and 3-9 in Chapter 3). These RMSE statistics are then used to report the tested horizontal and vertical accuracy of geospatial data consistent with the ASPRS Positional Accuracy Standards for Digital Geospatial Data, i.e., “Tested __ (meters, feet) horizontal accuracy at 95% confidence level”, “Tested __ (meters, feet) non-vegetated vertical accuracy (NVA) at 95% confidence level”, and “Tested __ (meters, feet) vegetated vertical accuracy (VVA) at 95th percentile. Four rules generally pertain to the survey of QA/QC check points: (1) where feasible, the number of check points should be consistent with those recommended by ASPRS in Table 4-8, based on project area; (2) horizontal check points should be point features clearly identifiable on the ground and on the dataset being tested (see Figures 4-19 and 4-20); (3) the survey procedure for horizontal and vertical check points should yield accuracy at least three times better than required of the geospatial product being tested; and (4) the check points should be well distributed with at least 20% of the points located in each quadrant of the mapped area, spaced at interval equal to at least 10% of the map sheet diagonal, if practical to obtain such distribution. QA/QC check points should always be surveyed relative to existing NSRS control points with established network accuracy values.



Q13

Table 4-8 Recommended Number of Check Points Based on Area

Project Area (Square Kilometers)	Horizontal Accuracy Testing of Orthoimagery and Planimetrics	Vertical and Horizontal Accuracy Testing of Elevation Data sets		
	Total Number of Static 2D/3D Check Points (clearly-defined points)	Number of Static 3D Check Points in NVA	Number of Static 3D Check Points in VVA	Total Number of Static 3D Check Points
≤500	20	20	5	25
501-750	25	20	10	30
751-1000	30	25	15	40
1001-1250	35	30	20	50
1251-1500	40	35	25	60
1501-1750	45	40	30	70
1751-2000	50	45	35	80
2001-2250	55	50	40	90
2251-2500	60	55	45	100

g. Coordinate Reference Systems. The coordinate reference system is the backbone of a mapping project. It provides the framework to tie together all field survey and map data. The coordinate reference system must be specified for the final map product. Typically, the State

Plane Coordinate System (SPCS) zone or the Universal Transverse Mercator (UTM) zone in which the project is located is used to define a mapping coordinate system. When a discrete project covers more than one zone, it normal to use the single more-predominant SPCS or UTM zone for the overall project area. The North American Datum of 1983 (NAD83) with the latest adjustment of the National Geodetic Survey (NGS), currently NSRS2007, shall be used for the horizontal datum, and the North American Vertical Datum of 1988 (NAVD88) shall be used as the vertical datum for USACE projects within the continental United States unless unique circumstances make the use of these datums unreasonable. The photogrammetric engineer must be familiar with the reference datums, the coordinate system definition, and the methods required for transforming all data into the final map coordinate system. This includes the conversion of ellipsoid heights (from data collected with airborne GPS) to orthometric heights (the correct term for elevation data) by application of the latest geoid model endorsed by NGS. The latest geoid model for the U.S. is GEOID12A. Horizontal and vertical reference datums, geoid model, and coordinate systems used shall be clearly identified as part of the ground control metadata and in specifications for surveying contractors performing photogrammetric ground control data collection.

4-5. Aerial Image Acquisition. Section 4-3 summarizes the major project planning steps for photogrammetric mapping projects to include review of project specifications, image acquisition planning, aircraft and flight line planning, and planning for ground control and/or airborne GPS control. Section 4-4 summarizes ground control survey procedures. Section 4-5 addresses other considerations for successful aerial image acquisition. Before commencement of aerial image acquisition by a subcontractor, the prime contractor shall furnish the Government Contracting Officer, in writing, the name of such subcontractor, for advance approval by the government's Contracting Officer, together with a statement as to the extent and character of the work to be done under the subcontract, including applicable camera reports/certificates consistent with section 4-3c. Whereas the Government encourages the use of small business enterprises, including woman-owned, minority-owned, disabled veteran-owned, and HUB Zone subcontractors, the prime contractor remains responsible for what its subcontractors do, or fail to do, as part of the prime contract. The aerial acquisition contractor is responsible for operating and maintaining the aircraft used in conformance with all governing Federal Aviation Administration and Civil Aeronautics Board regulations over such aircraft. Any inspection or maintenance of the aircraft resulting in missing favorable weather will not be considered as an excusable cause for delay. The flight crew and camera operator shall have had a minimum of 400 hours experience in flying precise photogrammetric mapping missions. The aerial acquisition contractor shall continuously monitor the image coverage and quality and shall undertake immediate reflights of areas wherein coverage does not meet specifications. Subsequent rejection of imagery by the prime contractor or the Government shall not in itself be a reason for granting a delay for another photo season. Failure to undertake reflights or delays in forwarding imagery for preliminary inspection (if required) that result in a lost season may be reason to invoke default of contract.

a. Factors Impacting Acquisition Costs. Many factors impact the costs and overall success of aerial image acquisition.

(1) Aircraft Utilization. Total aircraft utilization to, from, between, and over project sites is based on the provisions contained in the contract. For the purposes of estimating aircraft operational time, any day containing two or more consecutive hours of suitable flying conditions, in any sizable portion of the area not yet imaged, will be considered a suitable acquisition day.

(2) Aircraft Standby. Detailed requirements, conditions, notification procedures, and compensation provisions for emergency dedication of an aircraft to a USACE Command shall be specified. Direct and indirect costs shall be clearly identified in establishing the crew-day rate for such an item. USACE does not routinely pay for aircrews idled by common weather conditions that cause flight delays. Typical flight delays need to be factored into the original cost estimation process as discussed below.

(3) Flying Conditions. Flying conditions must be considered in aerial image flight planning because they influence the amount of flying time, project cost, delivery schedule, quality of imagery, or accuracy of the mapping data. Aerial imaging should not be attempted without permission of the Contracting Officer when the ground is obscured by haze, smoke, snow, vegetation or dust, or when the clouds or cloud shadows will appear on more than 5 percent of the area of any one image.

(4) Sun Angle. Aerial imaging should normally be undertaken when the sun angle is 30 degrees or greater above the horizon. Special care must be taken to minimize shadows in mountainous and canyon areas because shadows are black or contain reduced detail. The sun angle lessens during the winter to the point where it not only shortens the flying day but it also creates long, dense shadows, especially on wooded north-facing slopes. When the sun angle drops below 30 degrees to the horizon, imaging should normally be terminated. This condition is a problem for only a few days in the southern two-thirds of the country. In the northern third of the conterminous states, this condition could be more restrictive; but during that time frame the northern latitudes could also be snow covered, which would also deter imaging. Exceptions to the stated sun angle may be made with digital cameras with 12-bit image capture that provide superior tonal range and visual detail in shadows. Because of the expanded tonal range, images exposed in less than ideal flying conditions with 12-bit digital imagery can still provide usable information in darker shadows, effectively extending the acquisition window each day. Flight missions from AM to PM collect will place shadows on opposite sides of the structure, so must be discussed.

(5) Weather Conditions. Aerial imagery should not be acquired during poor weather conditions. Excessive wind conditions that will not permit acquisition within flight line tolerances should be avoided. Assuming otherwise favorable conditions, wind and thermal currents can create sufficient adverse conditions to prohibit a flight that would cause excessive

tilt, crab or drift in the imagery. Turbulence is especially troublesome at lower altitudes. Images should be rejected that contain clouds, haze, snow or smoke that obscures critical ground areas. Images should be essentially free of clouds and cloud shadows. In warm weather, even if early morning is clear, clouds usually begin to form before the flying day ends. When a cold front moves through, a period (from a few hours to a few days) of good flying weather tends to follow. In winter, there are cold days when the sky is clear and sharp, sometimes lasting from one to several days. In certain situations, when it is advantageous to have a minimum of shadows, photos may be exposed during overcast conditions. There is usually some haze present near urban areas that can diminish image definition; this urban haze spreads a considerable distance from the source, and the degree of haze tends to rise along with temperature. Again, 12-bit digital imagery helps to identify features imaged during less-than-ideal weather conditions.

(6) Vegetation Conditions. If the primary purpose of the imagery is to perform topographic mapping, the imagery should be acquired during leaf-off conditions during winter months. If the primary purpose of the imagery is to map the vegetation itself, the imagery should be acquired during leaf-on conditions during summer months. The season and any special requirements concerning foliage, snow or other conditions will be specified in the contract. Conditions that might obscure ground detail shall be the responsibility of the contractor. However, if questions or concerns about conditions exist, consultation with the Contracting Officer or the COR before undertaking or continuing the work is advisable. Aerial image acquisition shall be limited to the season specified in the contract unless otherwise authorized by the Contracting Officer.

(7) Snow Conditions. Although some snow may be tolerated on aerial imagery, especially thin, spotty patches, snow cover can have several adverse effects on aerial imagery. The surface of the snow causes a high light reflection, creating high-density light flares on the image. Little surface contrast on high-reflective material tends to flatten the terrain image. Depending upon the snow depth, a certain amount of ground cover is obliterated on the image. Snow depth obviously affects the measurement of terrain elevations.

(8) Site Conditions. Airports, military reservations and other sensitive locations (e.g., nuclear power plants) normally have restrictions on over-flights. These could be total exclusions or restrictions limited to certain time periods.

(9) Flying Height Restrictions. In order to ensure the safety of both the flight crew and general public, Federal flight regulations decree that an aircraft must not fly lower than that altitude from which the plane can, if it were to lose its power source, glide far enough to clear populated areas. This generally equates to a minimum altitude of 1,000 ft. above the ground. Many areas require aerial image acquisition to be performed with dual-engine aircraft rather than single-engine aircraft that have no back-up. At altitudes in excess of 18,000 ft., because the flight crew is infringing upon the airspace of commercial airways, the pilot must file a flight plan prior to commencing a mission. This may place scheduling restrictions on the photo mission.

b. Flight Log. For each flight day, the pilot or camera operator shall prepare a flight log containing the date, project name, aircraft used, and names of crew members. In addition the following shall be prepared for each flight line: altitude, camera serial number, f-stop, shutter speed, beginning and ending exposure numbers and times, and any other comments relevant to the flight conditions. The flight logs shall be delivered to the Contracting Officer as specified in the work order.

(1) Image Scale/GSD. The flight height above the average elevation of the ground shall be such that the images have an average scale or GSD suitable for attaining required orthophoto pixel size, photogrammetric measurements, map scale, and/or accuracy. Aerial imagery having a departure from the specified scale or GSD of more than 5 percent because of tile, or any changes in the flying height may be rejected.

(2) Overlap. Unless otherwise directed by the Contracting Officer, the overlap shall be sufficient to provide full stereoscopic coverage of the area to be photographed, as follows:

(a) Project Boundaries. All of the area appearing on the first and last digital image in each flight line that crosses a project boundary shall be fully outside the project boundary. Each strip of images along a project boundary shall extend over the boundary not less than 15 percent or more than 55 percent of the width of the strip.

(b) Strip Overlap. Where the ends of strips of images join the ends of other strips, or blocks flown in the same general direction, there shall be a sufficient overlap of the stereoscopic models. If the scales of the imagery are different, they shall be considered at the smaller image scale. In flight lines re-photographed to obtain substitute imagery for any rejected imagery, all images shall be exposed to comply with the original flight specifications, including scale/GSD and overlap requirements. The joining end photos in the replacement flight line shall have complete stereoscopic coverage of the contiguous area on the portion or portions not rejected.

(c) Shoreline Coverage. Strips running parallel to a shoreline may be repositioned to reduce the proportion of water covered, provided the coverage extends beyond the limit of any land features by at least 10 percent of the strip width.

(d) End Lap. Unless otherwise specified in the contract, the end lap shall average not less than 57 percent. With modern digital cameras, it is now common for end lap to greatly exceed 60 percent, to include 80 percent end lap for orthophoto projects where building lean must be minimized.

(e) Side Lap. Unless otherwise specified in the contract, the side lap shall average 25 percent or more.

(f) Terrain Elevation Variances. When ground heights within the area of overlap vary by more than 10 percent of the flying height, a reasonable variation in the stated overlaps shall be permitted provided that the fore and aft overlap does not fall below 55 percent and the lateral side lap does not fall below 10 percent. In extreme terrain relief where the foregoing overlap conditions are impossible to maintain in straight and parallel flight lines, the gaps created by excessive relief shall be filled by short strips flown between the main flight lines and parallel to them.

(3) Crab. Any series of two or more consecutive images crabbed in excess of 10 degrees as measured from the mean flight path of the airplane, as indicated by the principal points of the consecutive images, may be considered cause for rejection of the images. Average crab for any flight line shall not exceed 5 degrees. Relative crab in excess of 10 degrees between two successive exposures may be rejected.

(4) Tilt. Tilt (angular departure of the aerial camera axis from a vertical line at the instant of exposure) in any image of more than 3 degrees, an average tilt of more than 1 degree for the entire project, an average of more than 2 degrees for any 10 consecutive images, or relative tilt between any two successive images exceeding 5 degrees, may be cause for rejection.

c. Photo/Image Report. A report shall be included for each aerial image acquisition project giving the following information: camera type and serial number; lens type and serial number; filter type and number; lens aperture setting and shutter speed; date(s) of photography; start and end time for each photo run in local time; frame numbers of all offered photos/images; indicated flying height and computed flying height above sea level; average scale of film imagery or GSD of digital imagery; outside air temperature; weather conditions, clouds, visibility, turbulence; data of image processing; method of image processing; number of frames processed; general comment on quality of imagery; contract number and/or delivery order designation, as applicable; photo numbers, flight line identifications, and index or dates/times of photography.

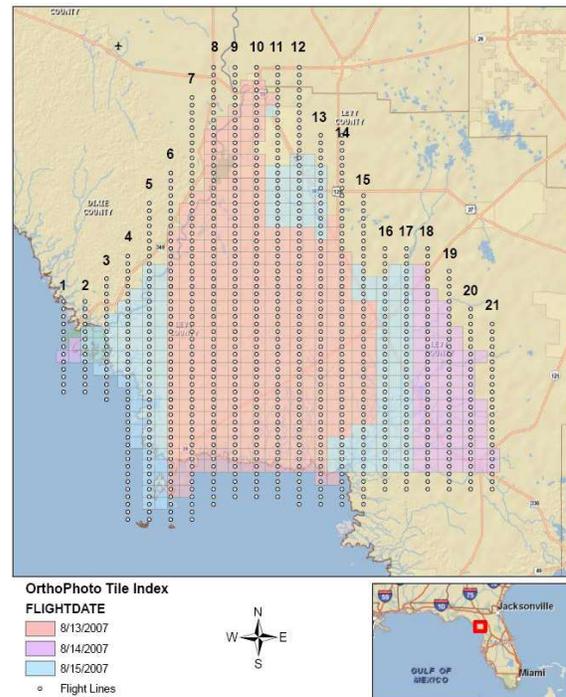


Figure 4-23. Orthophoto tile index map of Levy County, FL, as flown. Compare with pre-planned flight map at Figure 4-15.

d. Index Maps. Aerial acquisition firms normally maintain multiple map indices. A spot or point index indicates the center of each aerial image along a flight line. A line index shows the

paths or flight lines the airplane flew as it took images. A mosaic index shows all images used to create individual orthophoto tiles or photomosaic. Figure 4-15, above, showed a flight map with pre-planned flight lines and photo centers for Levy County, Florida. Figure 4-23 shows the actual flight lines, photo centers, and orthophoto tile index map of Levy County, following successful image acquisition. It shows individual images that will be used for pre-defined orthophoto tiles. This color-coded index map also shows the three different dates during which the imagery was acquired. The index map at Figure 4-23 enables the client to see how well the acquired numbered flight lines and image centers from airborne GPS compare with the planned flight lines and image centers at Figure 4-15.



Q14

4-6. Aerial Triangulation (AT). Aerial triangulation (AT), also known as aerotriangulation, is the key to a successful aerial photogrammetric mapping project. AT is the simultaneous space resection and space intersection of thousands of image rays recorded by an aerial mapping camera. These image rays are mathematically modeled as *colinearity equations*, e.g., equations that model the image rays from o_1 through p_1 to P and o_2 through p_2 to P in Figure 4-1 as well as hundreds of other points imaged on each stereo pair of images, while simultaneously modeling lens distortion that slightly warps the rays between P_1 and O_1 and between P_2 and O_2 inside the camera, as well as atmospheric refraction that slightly warps the rays between P_1 and P and between P_2 and P outside the camera. Conjugate image rays projected from two or more overlapping photographs or digital images intersect at common ground points to define the three-dimensional space coordinates of each point. The entire assembly of image rays is fit to known ground control points in a least squares adjustment process, a complex but well-accepted mathematical process based on over-determined solutions, i.e., sets of equations in which there are more known values than unknown values to be solved. When the adjustment is complete, ground coordinates of unknown ground points are determined by the intersection of adjusted image rays, and the six *exterior orientation* parameters for each image are established, i.e., the 3D position (X/Y/Z coordinates) of the camera lens' focal point, and the angular orientation (roll, pitch and yaw, referred to mathematically as ω , ϕ and κ) at the instant that each image was acquired. Other AT concepts were briefly introduced in prior sections. For example, Figure 4-6 shows how *pass points* and *tie points* are identified to “pass” X/Y/Z ground coordinates of imaged points from one image to the next in the same flight line and to “tie” adjacent flight lines together, using only a few surveyed control points that are photo-identifiable. Figure 4-22 shows how airborne GPS and an inertial measurement unit (IMU) can directly record the *approximate* 3D position and angular orientation of each image, as compared with *precise* 3D position and angular orientation parameters (X/Y/Z and $\omega/\phi/\kappa$) that result from AT. Finally, Figure 4-21 shows how pass points and tie points in the triple overlap areas of digital frame imagery are critical for passing control from one image to the next, and from one flight line to the next.

a. AT Objectives. Aerial triangulation has three principal objectives: (1) to determine the six exterior orientation parameters of each image in the block; (2) to determine the 3D ground coordinates of any points measured on those images; and (3) to reduce the amount of field surveying required to achieve objectives (1) and (2). The purpose of aerotriangulation is to

extend horizontal and vertical control from relatively few ground survey control points to unknown ground points (*pass points* and *tie points*) included in the solution.

b. Image Coordinate Measurements. AT first requires the measurement of conjugate points in the overlapping areas of the block imagery (*pass points* and *tie points*) and the measurement of the photo coordinates of surveyed control points. With analytical photogrammetry, this requires photo-identification of the control points and the manual selection of *pass points* and *tie points* in the nine Von Gruber points of each photo (see Figure 4-21); then point transfer devices are used to drill small holes in the film emulsion of stereo images for these *pass points* and *tie points*, followed by the accurate measurement of the photo coordinates of those small holes on each hardcopy photo. With digital (softcopy) photogrammetry, automated image correlation procedures are used for automated identification of *pass points* and *tie points* and measurement of their image coordinates; rather than measuring only nine points per image, digital stereo photogrammetric workstations enable the automatic identification and measurement of hundreds of *pass points* and *tie points* per image. The least squares AT solution will identify outliers resulting from poor correlation or measurement, still leaving a large number of redundant (over-determined) observations. Over-determination, or redundancy, required for a strong least squares adjustment, occurs because each *pass point* or *tie point* has three *unknowns* ($X/Y/Z$ ground coordinates) but four *knowns* (x/y image coordinates of each *pass point* or *tie point* in the double-overlap areas) or six *knowns* (x/y image coordinates of each *pass point* or *tie point* in triple-overlap areas). By selection and measurement of thousands of *pass points* and *tie points* for a large block adjustment, there will be thousands of redundant observations that enable a stronger least squares AT solution.

c. Least Squares Adjustment. Least squares adjustments involve a high level of error theory and matrix algebra. A least squares adjustment includes *condition equations* that describe the relationships among weighted observations and parameters, as well as *normal equations* that minimize the adjustment residuals' weighted sum-of-squares and optimize the various parameters. The least squares adjustment process is iterative. AT software forms the colinearity condition equations and solves for all photo orientation and ground point coordinates iteratively until the solution converges. Lastly, the least squares solution also provides good estimates of the accuracy of all adjusted input and output parameters.

d. Analysis of AT Adjustment. The least squares adjustment results should be examined to check the consistency of the photo coordinate measurements and the ground control fit. Residuals on the photo coordinates should be examined to see that they are representative of the random error expected from the procedures used to measure them. Residuals should be randomly plus or minus and have a uniform magnitude. Residuals should be checked carefully for outliers and systematic trends. Standard deviation of unit weight computed from the weighted adjusted residuals should not be more than 1.5 times the reference standard deviation used to compute the weights for the adjustment. A large computed reference variance indicates inflated residuals and possible systematic errors affecting the adjustment. For example, if photo

coordinates were judged to have an overall measurement standard deviation of 0.005 mm and this value was used to compute observation weights, the standard deviation of unit weight computed by the adjustment should not exceed 0.0075 mm.

4-7. Digital Photogrammetric Mapping Workflow.

a. Using Digital Imagery. In order to bring the various photogrammetric mapping procedures together in a logical sequence, Figures 4-24a and 4-24b illustrate a typical large scale photogrammetric and orthophoto production workflow. Orthophoto production is typically part of a standard photogrammetric project and utilizes much of the same information collected for photogrammetric mapping to include aerial photography, ground control, aerial triangulation and digital terrain model development. However, when only orthophotos are required for a project, the amount of digital elevation model collection can be greatly reduced as well as the need for larger amounts of vertical control. The end user should be aware that a digital elevation model developed ONLY for orthophoto production will not be suitable for contour generation.

(1) Workflow through AT. Figure 4-24 diagrams the workflow up through the AT process. The ground control and/or check points are surveyed and quality controlled (QC'd) along with the field notes. The aerial imagery is acquired and the raw imagery is QC'd. If airborne GPS and IMU data were acquired, the data are processed and QC'd to establish preliminary exterior orientation parameters ($X/Y/Z$ and $\omega/\phi/\kappa$) for each individual image. The raw imagery is then processed into its final form required for AT; such image processing is especially critical for ADS40/80 imagery where Level 0 raw imagery must be converted into Level 1 rectified image strips. AT steps are then completed using software best suited for frame or pushbroom cameras.

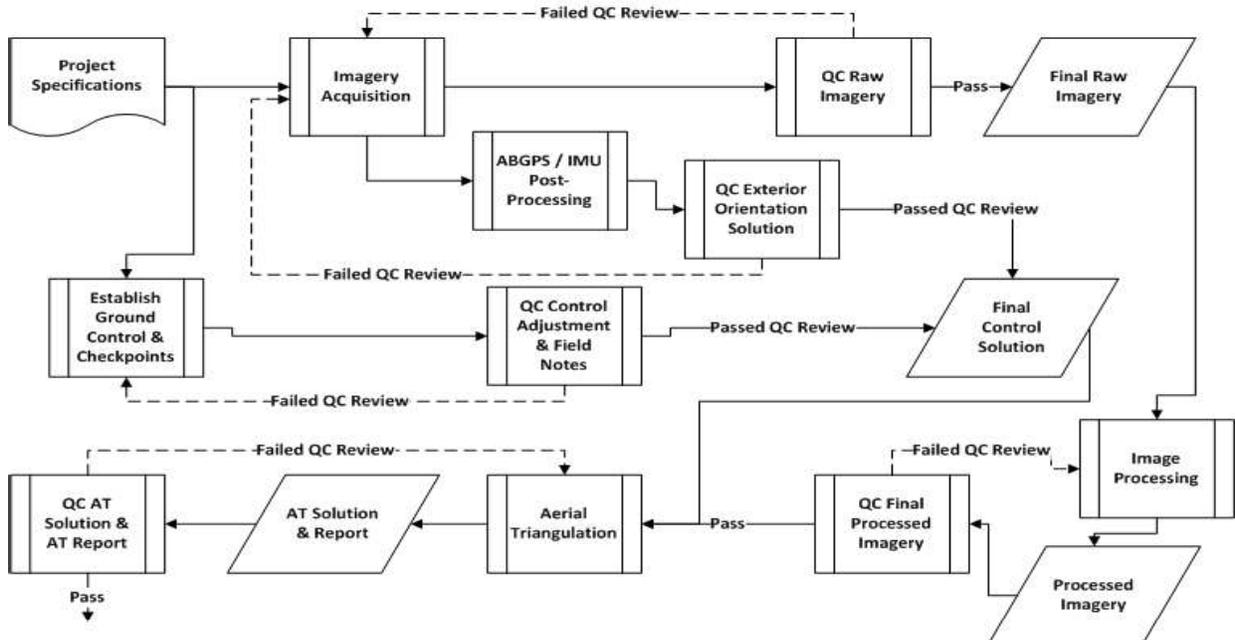


Figure 4-24 Typical Photogrammetric Mapping Production Flow Diagram through Aerial Triangulation

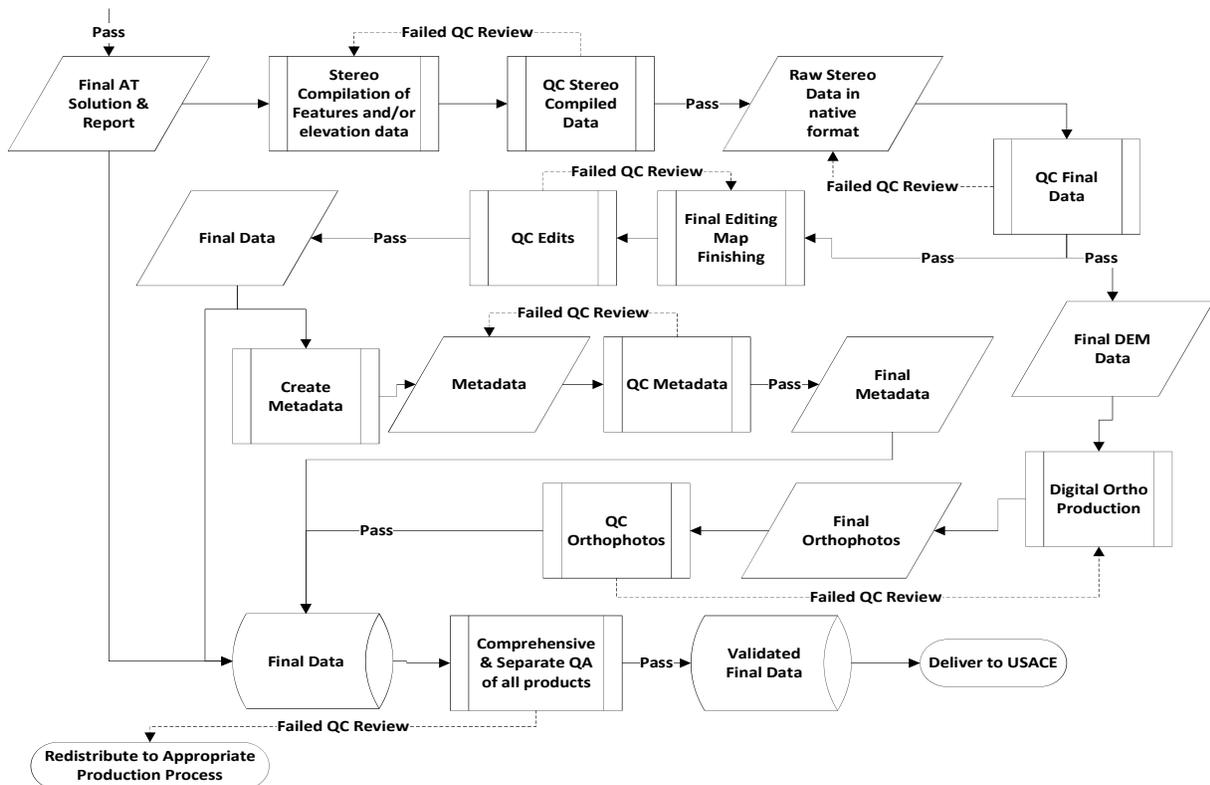


Figure 4-25 Typical Photogrammetric Mapping Production Flow Diagram after Aerial Triangulation

(2) Workflow after AT. Figure 4-25 diagrams the workflow after completion of AT. Once the AT is complete, photogrammetrists know the six exterior orientation parameters for each image so that stereo models can be set up in digital stereo photogrammetric workstations. This enables all photogrammetric operations to proceed. Planimetric features can be stereo-compiled, and digital elevation models (DEMs) and digital orthophotos can be produced. All products are QC'd. Metadata are also produced and QC'd. Any product that fails a QC review must be corrected. Before final delivery to USACE, all deliverables typically receive a comprehensive and separate final quality assurance (QA) review and validation prior to delivery.

(a) Stereo Feature Compilation and Map Finishing. Once a valid AT solution is produced, the solution along with the camera calibration information and the raw (un-rectified) imagery can be imported into software capable of displaying the imagery in a stereoscopic (3D) environment. Stereographic software allows analysts to accurately compile features visible in the imagery with X, Y, and Z (elevation) coordinates. Each vertex of a polygon, line or individual point will hold this spatial information. Stereoscopic viewing allows the analysts to accurately measure elevation and heights of objects. Stereo imagery is also beneficial for photo interpretation projects that do not require an elevation value associated with the line work. Stereo imagery can assist the photo interpreter with identifying vegetation types by relying on the height of the feature such as identifying a tree versus a shrub or distinguishing taller cattail from shorter sedges.

(b) Stereo Compilation of DSMs and DTMs. Similar to feature compilation above, once all valid parameters are loaded into stereoscopic viewing software, topographic features can be collected with elevation values. Creating a digital surface model (DSM) or a digital terrain model (DTM) requires the collection of topographic breaklines, i.e., linear features that describe a change in the smoothness or continuity of a surface. For example, soft breaklines ensure that known z-values are maintained along a linear feature such as a road centerline, drainage ditch, or pipeline; and hard breaklines define streams, shorelines, dams, ridges, building footprints, and other locations with abrupt surface changes. Mass points, which are individual 3D points, are also stereoscopically placed on the ground equal distances apart where topographic breaklines do not exist. Mass points are commonly generated by a process called Semi-Global Matching (SGM) based on automated image correlation techniques. The placement of mass points will vary depending on the required contour interval when contours are to be produced. The difference between a DSM and a DTM is that the DSM represents the top reflective surface including vegetation, bridges and buildings as well as the topographic breaklines and mass points. A DTM is a bare-earth model where all surface objects have been removed leaving only the ground terrain. Typically, only topographic breaklines and mass points are collected to create a DTM. Once the area of interest has topographic breaklines and mass points, the data can then be ingested into GIS software which can produce a TIN (Triangular Irregular Network). This TIN is essentially a ground based elevation model that was computed by interpolating values between the known elevations of the breaklines and mass points. Contours can then be created

from the TIN file and viewed in the stereo software. Viewing the contours on the imagery in stereo allows the analyst to see where additional breaklines or mass points may be needed, i.e. if the contours are floating or cutting into the side of a hill. With modern softcopy photogrammetry, contour lines are no longer compiled manually by moving a floating dot along the ground at set elevations.

(c) Digital Orthophoto Production. Orthophotography, images that have been rectified (pixel by pixel) and positioned spatially to the earth's surface, are produced using specialized software. The orthophoto software uses the camera calibration file, AT solution, digital elevation files and the raw photography to produce the orthophotos. After individual orthophotos have been created they can be mosaicked into one seamless image or several combined images based on square tile grids (USGS Quad/Quarter Quad boundaries, or user specified dimensions). Orthorectified images should be QC'd to ensure they are free of voids, sensor artifacts and warped features. Warped features are typically caused by either an error in the elevation model or by bridges being rectified to a bare earth model. File size should be considered when producing orthorectified imagery and mosaics. The finer the resolution the higher the file size will be. It is not uncommon for one uncompressed single frame image to be larger than 1GB.



Q15

(d) Geodatabase. A Geodatabase is a database which stores spatial data that can be viewed and modified using GIS software. Geodatabases can have several layers of data within them, the first being a Dataset. Datasets hold the defined projection of the feature classes that reside within them. Feature classes can include line, polygon, point or multi point geometry types. Each geometry type requires a unique feature class; however there can be hundreds of thousands of features within one feature class. Those features can also have unique attribution. Elevation data and imagery can also be stored in Geodatabases along with vector data. The specific types and functions of the database depend on the software used to develop the database.

b. Using Film Imagery. Film imagery can also be used in a digital photogrammetric mapping workflow by scanning film positives with a high-resolution scanner to convert the film into a digital format. The ground pixel size is a function of the photo scale and scanning resolution. The photo scale is a function of the focal length of the camera and the flying height above the terrain. For example, when using a 6-inch (0.5 foot) focal length camera and flying at 3,000 feet above mean terrain, the photo scale would be 1:6,000. The scanning resolution is commonly identified by dots per inch (dpi), e.g., 1,000 dpi. For this example, the ground pixel size in feet = $6,000/1,000/12 = 0.5$ ft. per pixel, and the ground pixel size in meters = $6,000/1,000/39.37 = 0.1524$ meters or 15.24 cm. These formulas apply because dpi was used for the scanning resolution, and there are 12 inches per foot and 39.37 inches per meter. Similar formulas exist when the scanning resolution is in microns (10^{-6} meters). A common scan resolution of 15 microns equates to 1,693 dpi, and the highest resolution scan is around 7.5 microns which equates to 3,386 dpi.

CHAPTER 5

Satellite Photogrammetry

5-1. General. This chapter provides an overview of satellite photogrammetry and concentrates on earth observation satellites that operate in the optical spectrum. For information on earth observation satellites used for other types of remote sensing, refer to EM 1110-2-2907, Remote Sensing. This chapter includes a comparison of high- and medium-resolution commercial imaging satellites; a review of the most common applications for satellite photogrammetry; a review of geo-positioning from satellite images and procedures for creating stereo pairs from satellite imagery; and a review of the top 10 things to consider in buying optical satellite imagery used for satellite photogrammetry, largely extracted from an article, entitled “Buying Optical Satellite Imagery? The Top 10 Things to Consider,” by Nick Hubing, published in the May/June 2012 edition (Vol. 9, No. 3) of the *Earth Imaging Journal*, and re-used with permission from the Publisher. This chapter concludes with guidance for USACE personnel to consider prior to deciding to purchase commercial satellite imagery through commercial vendors.

5-2. High- and Medium-Resolution Commercial Imaging Satellites. Table 5-1 lists the major characteristics of the most popular high- and medium-resolution commercial imaging satellites. These individual characteristics will be discussed in sections 5-3 through 5-5 below. Temporal resolution is especially tricky to compare because of off-nadir viewing angles; also, some operators compare revisit times at a typical latitude while others compare at the Equator.



Q16

5-3. Common Applications of Satellite Photogrammetry. The four most common mapping projects that utilize satellite imagery include: (1) orthomosaics, (2) planimetric mapping, (3) classification mapping, and (4) topographic mapping. Each application is discussed below.

a. Orthomosaics. Most satellite imagery comes georeferenced but not orthorectified. Orthorectification is a process that corrects inherent distortions in the optics and viewing geometry. The process incorporates a satellite orbital model, a digital elevation model (DEM) and optionally photo-identifiable ground control points (GCPs). Orthorectification is the most reliable way to correctly georeference all points in an image.

(1) In addition to improving the absolute accuracy of the imagery, orthorectification also improves the relative spatial match across adjacent scenes and strips. However, if the source scenes have different or opposite look angles, some spatial mismatch may be unavoidable, especially in high-relief areas.



Table 5-1. Comparison of High- and Medium-Resolution Commercial Imaging Satellites

Satellite System	Vendor	Spatial Resolution (meters)	Wavelengths (nanometers)	Radiometric Resolution	Temporal Resolution (days)
Ikonos 9/24/1999	DigitalGlobe	Panchromatic: 0.83 Multispectral: 4	Pan: 526-929 Blue: 445-516 Green: 506-595 Red: 632-698 NIR: 757-853	11-bit	2.9 days at 1m 1.5 days at 1.5m
QuickBird 10/18/2001	DigitalGlobe	At altitude 450 km Panchromatic: 0.61 Multispectral: 2.44	Pan: 405-1053 Blue: 430-545 Green: 466-620 Red: 590-710 NIR: 715-918	11-bit	2.5 days 5.6 days at 20° off-nadir or less
SPOT-5 5/3/2002	Astrium	Panchromatic: 2.5 and 5 Multispectral: 10	Pan: 480-710 Green: 500-590 Red: 480-710 NIR: 780-890 SWIR: 1,580-1,750	8-bit	2-3 days
WorldView-1 9/18/2007	DigitalGlobe	Panchromatic: 0.5	Pan: 400-900	11-bit	1.7 days at ≤1m 5.4 days at 20°
RapidEye 9/29/2008	BlackBridge	No Panchromatic Multispectral: 5	Blue: 440-510 Green: 520-590 Red: 630-685 Red Edge: 690-730 NIR: 760-850	12-bit	1 – 5.5 days
GeoEye-1 9/26/2008	DigitalGlobe	Panchromatic: 0.41 Multispectral: 1.65	Pan: 450-800 Blue: 450-510 Green: 510-580 Red: 655-690 NIR: 780-920	11-bit	< 3 days
WorldView-2 10/8/2009	DigitalGlobe	Panchromatic: 0.5 Multispectral: 2	Pan: 450-800 Multispectral: Coastal: 400-450 Blue: 450-510 Green: 510-580 Yellow: 585-625 Red: 630-690 Red Edge: 705-745 NIR1: 770-895 NIR2: 860-1,040	11-bit	1.1 days at ≤1m 3.7 days at 20° off-nadir or less
Pléiades-1A 12/16/2011 Pléiades-1B 12/12/2012	Astrium	Panchromatic: 0.5 Multispectral: 2	Pan: 470-830 Blue: 430-550 Green: 500-620 Red 590-710 NIR: 740-940	12-bit	1 day with two satellites

Table 5-1 (Continued)

Satellite System	Vendor	Spatial Resolution (meters)	Wavelengths (nanometers)	Radiometric Resolution	Temporal Resolution (days)
SPOT-6 9/09/2012 SPOT-7 Q1 2014	Astrium	Panchromatic: 1.5 Multispectral: 6	Pan: 450-750 Blue: 440-520 Green: 530-590 Red 630-700 NIR: 760-890	12-bit	1 day with two satellites
WorldView-3 3Q, 2014	DigitalGlobe	Panchromatic: 0.31 Multispectral: 1.24 SWIR: 3.7 CAVIS: 30.0	Pan: 450-800 8 MS Bands: Coastal: 400-450 Blue: 450-510 Green: 510-580 Yellow: 585-625 Red: 630-690 Red Edge: 705-745 NIR1: 770-895 NIR2: 860-1040 8 SWIR Bands: SWIR-1: 1195-1225 SWIR-2: 1550-1590 SWIR-3: 1640-1680 SWIR-4: 1710-1750 SWIR-5: 2145-2185 SWIR-6: 2185-2225 SWIR-7: 2235-2285 SWIR-8: 2295-2365 12 CAVIS Bands: Desert Clouds: 405-420 Aerosol-1: 459-509 Green: 525-585 Aerosol-2: 620-670 Water-1: 845-885 Water-2: 897-927 Water-3: 930-965 NDVI-SWIR: 1220-1252 Cirrus: 1350-1410 Snow: 1620-1680 Aerosol-3: 2105-2245 * Aerosol-4: 2105-2245 * *Note: Aerosol-3 and -4 are parallel bands, designed to map cloud heights	11-bits/pixel Pan and MS (Multispectral) 14-bits/pixel SWIR	<1 day at ≤1m 4.5 days at 20° off-nadir or less

(2) If an area of interest (AOI) comprises more than one scene/strip, the imagery also can be tonally balanced. Tonal balancing matches colors that otherwise would vary across adjacent scenes due to a variety of factors, including atmospheric conditions and vegetation seasonality

across multiple imagery acquisition dates. In cases of extreme seasonality differences, it may not be possible to create an entirely seamless tonal match.

(3) Typically, tonal balancing only is applied to land areas, not water, as the appearance of water features, especially saltwater, can vary greatly across multiple dates/scenes. If a visually pleasing or realistic picture is desired, water areas can be manually manipulated with software tools such as Photoshop, but image intelligence, such as shallow water depth, would be lost.

(4) To the extent possible, limiting the seasonality differences across strips helps improve tonal balancing. If an AOI comprises multiple scenes, some satellite operators will crop out part of the overlap area to reduce the file size. From an image processing perspective, however, especially where imagery needs to be cloud-patched, the overlap is desirable and should be available at no extra charge, as long as it's requested when the order is placed. Figure 5-1 and Figure 5-2 show how multiple overlapping scenes can be used to output a tonally balanced orthomosaic with cloud patching.

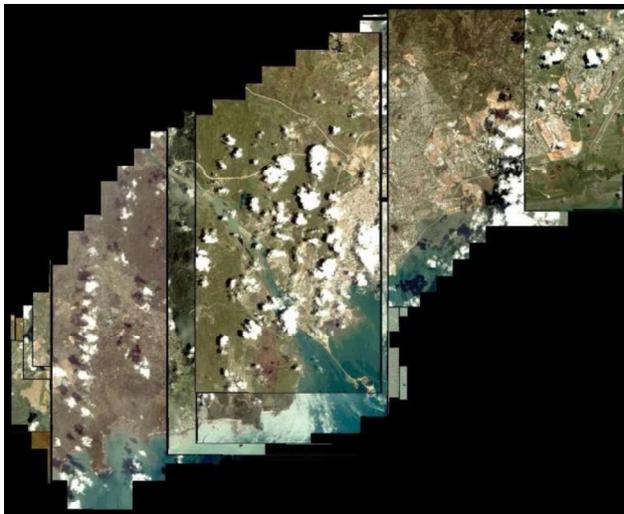


Figure 5-1. Overlapping satellite image scenes with clouds



Figure 5-2. Tonally balanced orthomosaic produced with cloud patching

(5) When creating orthomosaics, limiting the number of scenes facilitates processing, and, if applicable, helps reduce the total number of optimal Global Positioning System (GPS) GCPs. In the United States, public-domain products, such as imagery from the National Agriculture Imagery Program (NAIP), can be used as control to improve the ortho accuracy of imagery with lower native accuracy. Outside of the United States, if GPS GCPs aren't available, ideally the next-generation imaging sensors with higher native accuracy, such as GeoEye-1, WorldView-1 and WorldView-2, should be favored.

(6) Consideration should be given to the DEM being used. In the United States, 10-meter (1/3-arc-second) DEMs from the National Elevation Dataset (NED) are the best public-domain option for Hawaii and the 48 conterminous states, but unacceptable for orthorectification in Alaska. Alaska is currently being mapped with 5-meter DEMs from airborne interferometric synthetic aperture radar (IFSAR); the IFSAR portion of the Alaska Statewide Digital Mapping Initiative (SDMI) is expected to continue until at least 2017, depending on available funding. The National Digital Elevation Program (NDEP) also tracks the availability of high-accuracy, high-resolution DEMs from light detection and ranging (LiDAR) mapping of major urban areas and other high priority areas nationwide. As of 2012, approximately 28 percent of the U.S. was mapped with some form of LiDAR. Outside the United States, commercial and/or local government DEM options are available. However, if DEM selection is limited to public-domain options, despite the resolution difference, 90-meter (3-arc-second) Shuttle Radar Topography Mission (SRTM) data, which uses synthetic aperture radar (SAR) to penetrate clouds, will likely yield better results than some higher-resolution satellites, especially in areas with high cloud cover and/or low contrast (snow, dense vegetation, etc.). Also, imagery that has already had a DEM applied to it, including the Standard format from DigitalGlobe, isn't suitable to be orthorectified – the Ortho Ready format should be selected instead.

b. Planimetric Mapping. Vector extraction from satellite imagery allows roads, hydrology, building footprints and other planimetric features to be mapped cost effectively, or to update existing maps. The resulting accurate, up-to-date base data then are used to support the applications such as Internet mapping portals and handheld GPS devices.

(1) Unlike classification maps, which require multispectral imagery to emphasize the spectral properties of various features, feature extraction is based on spatial properties – the size and shape of objects – so pan imagery can be used. Color imagery, however, makes it easier to identify certain features such as water, paved vs. unpaved roads, etc.

(2) Despite advancements with machine learning and object-based image analysis, features such as roads, streams, and building footprints are still often captured via manual heads-up digitizing from orthomosaics. Computer-aided design (CAD) applications typically work best with 8-bit imagery, with a contrast stretch already applied to the



Figure 5.3. Satellite imagery used for vector feature extraction of planimetric features.

imagery. Especially in AOIs of high relief or those comprising more than one scene, imagery should be orthorectified prior to feature extraction. Figure 5-3 shows how satellite imagery can be used for vector extraction of roads, hydrology and other planimetric features.

(3) Wavelet compressed file formats, such as ECW, MrSID and JPEG 2000, help facilitate file transfer across multiple production/quality assurance teams. Otherwise, it may help to tile GeoTiff imagery, as some CAD programs are limited in their ability to work with large raster files. Whenever possible, seasonality consideration should be given to AOIs, as leaf-off imagery yields better feature visibility.



Q18

c. Classification Mapping. Classification maps are one of the most common types of products created from satellite imagery and can map broad categories of land cover such as forest vs. agriculture or specific plant species. They also can map land use such as urban, industrial, residential, suburban and open space. These types of “clutter” maps are used in radio-frequency modeling for wireless networks, among other applications. Traditionally, classification work has been spectral pixel-based, so 11-bit, four-band imagery or better is used; newer object-based image analysis methodologies can yield superior results, especially with high-resolution imagery and image datasets that may only be available as three-band and/or 8-bit. Figure 5-4 and Figure 5-5 demonstrate how multispectral and infrared imagery is useful for classification mapping projects such as vegetation mapping.

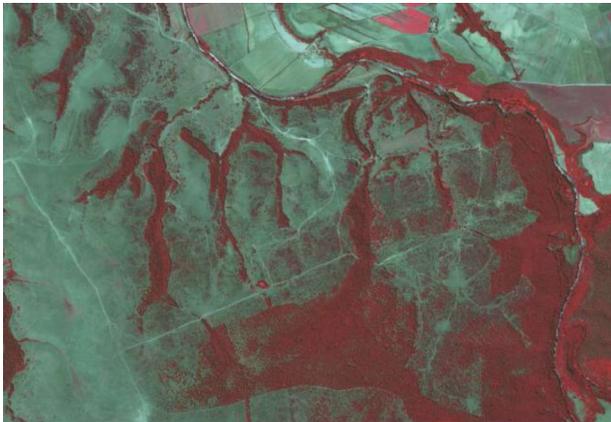


Figure 5-4. Multispectral and infrared imagery

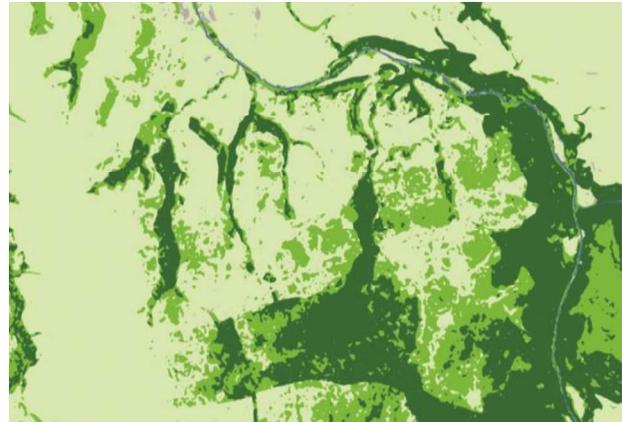


Figure 5-5. Classification map of vegetation



Q19

d. Topographic Mapping. Cloud-free stereo imagery is required for topographic mapping from either aerial photogrammetry or satellite photogrammetry. In parts of the world where it is possible to obtain cloud-free optical high-resolution satellite imagery, this may be the preferred solution when producing Digital Elevation Models (DEMs) for applications with required resolutions down to 1-meter and where dense forests do not block stereo views of the bare-earth terrain. Topographic mapping can also be performed using radar satellites such as TerraSAR-X

and TanDEM-X which operate in tandem to create a three-dimensional map of the world; but this technology is best suited for tropical areas where 10-meter DEM resolution is acceptable.

5-4. Geo-positioning. Satellite images must undergo extensive post processing in order to be accurately georeferenced to a degree suitable for photogrammetric mapping. Vendors employ rigorous sensor models in order to orient an image's two-dimensional horizontal coordinates to the three-dimensional earth. These sensor models are developed based on the specifications of the image sensor as well as the satellite's orbit trajectory and velocity. In addition, the degree of accuracy in which satellite imagery is delivered may not be suitable for photogrammetric applications without GCPs established independently of the sensor model.

a. Orientation Types. Satellite imagery can be delivered as either an orthorectified or stereographic product. Orthorectified imagery, referred to as orthoimagery, is processed to correct distortions caused by relief displacement. In order to orthorectify a satellite image, a Digital Elevation Model (DEM) must be used in conjunction with rational polynomial coefficients provided by the vendor.

(1) Rational Polynomial Coefficients. Due to the complexity of the rigorous sensor models used to orient satellite imagery, vendors will instead deliver rational polynomial coefficients (RPCs) that can be digested by commercial photogrammetry applications. Rational polynomial coefficients, also referred to as Rational Polynomial Camera models, are calculated from a satellite's sensor model in order to relate an image's horizontal and vertical orientation to the Earth surface, for example latitude, longitude, and surface elevation. RPCs are included as part of a deliverable for use in orthorectification in the event a user needs to update the imagery using a more recent or high resolution DEM. Also, if the initial accuracy of the imagery does not meet project requirements, RPCs can be updated using supplementary ground control points.

(2) Epipolar Geometry. In order to view satellite imagery in a stereographic workstation, the imagery must be rectified using epipolar geometry, which is the framework for stereographic viewing (Figure 5-6). Given a pair of images, epipolar rectification transforms each image plane so that the pairs of epipolar lines become co-linear and parallel to the epipolar plane. The epipolar plane is defined as, for a given ground point visible in each image of a stereo pair, the plane passing through the point and the two camera stations. An epipolar line is formed by the intersection of the epipolar plane with either a horizontal ground space plane or one of the images used to compute the epipolar plane. To visualize stereo, both the left and right images must be oriented so the epipolar line is horizontal. Stereo pairs can be produced by Iconos, WorldView, SPOT and Pléiades satellite systems listed in Table 5-1. Once stereo pairs are acquired, photogrammetric software suites provide the functionality to perform epipolar rectification. The epipolar geometry shown for satellite stereo imagery in Figure 5-6 is basically the same as that shown for airborne stereo imagery in Figure 4-1 of the chapter on Aerial Photogrammetry.

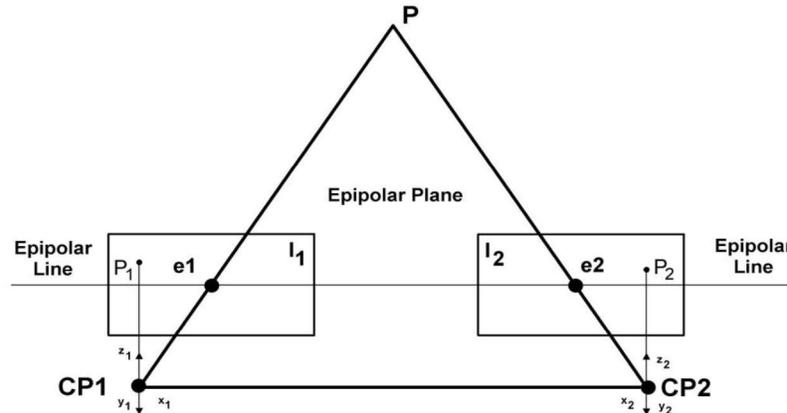


Figure 5-6. Epipolar Geometry

b. Accuracy. The horizontal and vertical accuracy of satellite imagery will not necessarily support high accuracy photogrammetric applications. Examining the horizontal accuracy specifications in Table 5-1, a satellite capable of producing imagery that achieves a horizontal accuracy of 5-meters (CE90) for example, without ground control points (GCPs), may be able to achieve 1 or 2 meter accuracy with GCPs and rigorous photogrammetric aerial triangulation.



Q20

(1) Ground Control Points (GCPs). Ground control in satellite photogrammetry is conducted differently than that of traditional aerial photogrammetry or land surveying. Due to the size and remoteness of an area, photogrammetrists will utilize virtual ground control points to orient imagery, instead of physical ground control points established by survey. These points may be sourced from ancillary data such as orthoimagery or a satellite's onboard telemetry. However, this type of ground control does not support photogrammetric mapping; therefore physical, photo-identifiable GCPs should be acquired to increase image accuracy. Typically ground control that is three times better than the final product designation should be acquired. For example, a final deliverable requirement of 3-meter RMSE requires ground control with at least 1-meter RMSE quality.

(2) Aerial Triangulation (AT). Due to the cost of acquiring physical GCPs and the potentially large area of a satellite photogrammetry project, aerial triangulation is used to extend horizontal and vertical control from known GCPs to each unknown GCP in the solution. A full explanation of aerial triangulation is provided in Chapter 4, Section 4-3 of this manual. Aerial triangulation is critical when satellite imagery is to be used in a photogrammetric workstation because it serves to orient each stereo model, ensuring reliable stereo viewing and geo-positional accuracy. This is especially important considering the relatively low horizontal accuracy in respect to aerial imagery and the large image swaths inherent with satellite imagery listed in Table 5-1. Essentially, as the number of stereo models, aerial extent, and topographic relief of a project increases, so does the need for aerial triangulation in order to extend the ground control network.

5-5. Creating Satellite Imagery Stereo Pairs.

In Chapter 4, Aerial Photogrammetry, Figures 4-18 and 4-19 showed the difference between traditional aerial frame cameras and pushbroom cameras. Most high resolution imaging satellites are pushbroom sensors that not only can look forward, downward or backward, but can also roll to the left or right to capture target areas of interest (AOIs), adding to the complexity of the stereo model acquisition geometry. Images in this section were provided as a courtesy by Digital Globe. Figure 5-7 shows fore/aft stereo imagery derived from the same pass (in track) over a target with camera looking forward in the first collect (fore) and backward in the second collect (aft).

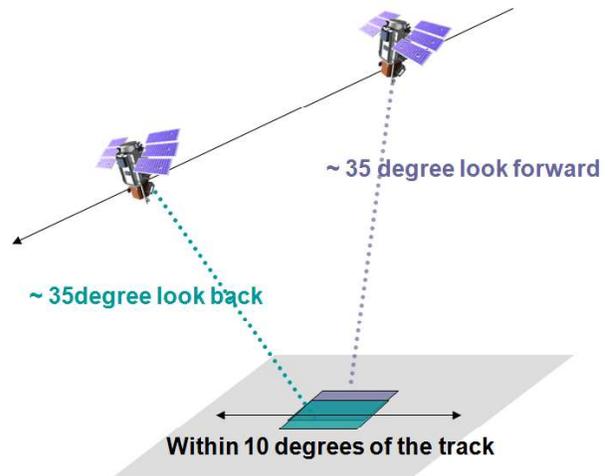


Figure 5-7. Satellite stereo image acquisition



a. Stereo Model Acquisition Geometry. Section 5-4 (a) (2) introduced the concept of epipolar geometry. Figure 5-8 helps readers understand the additional definitions below.

Epipolar Plane — the plane formed by the two rays from the ground point to the perspective centers.

Convergence (C) — the angle in the epipolar plane between the two rays from the ground point to the perspective centers.

Asymmetry (A) — the angle between the bisector of the convergence angle and the projection of the local vertical onto the epipolar plane.

Roll (R) — the angle between the epipolar plane and the local vertical.

Bisector Elevation (BIE) — the elevation of the bisector of the convergence angle.

Base/Height Ratio (B/H) — ratio of the air base (length of epipolar line between L1 and L2 at Figure 5-8) and height of the epipolar line above terrain height (point P).

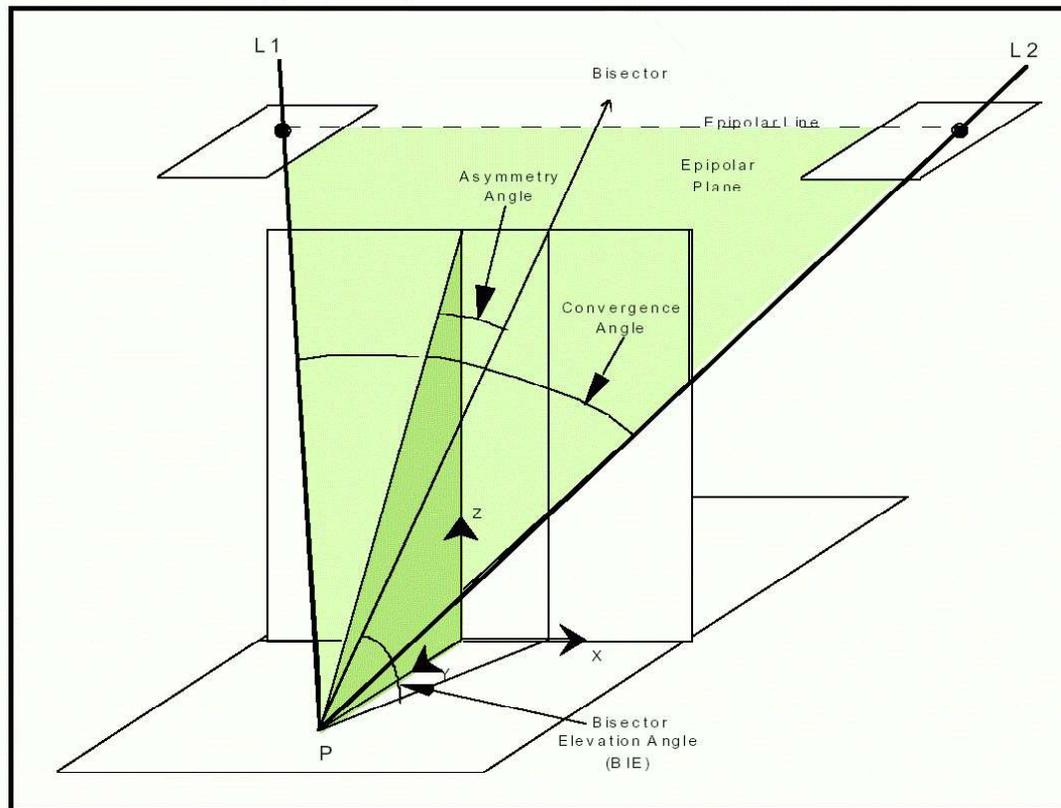
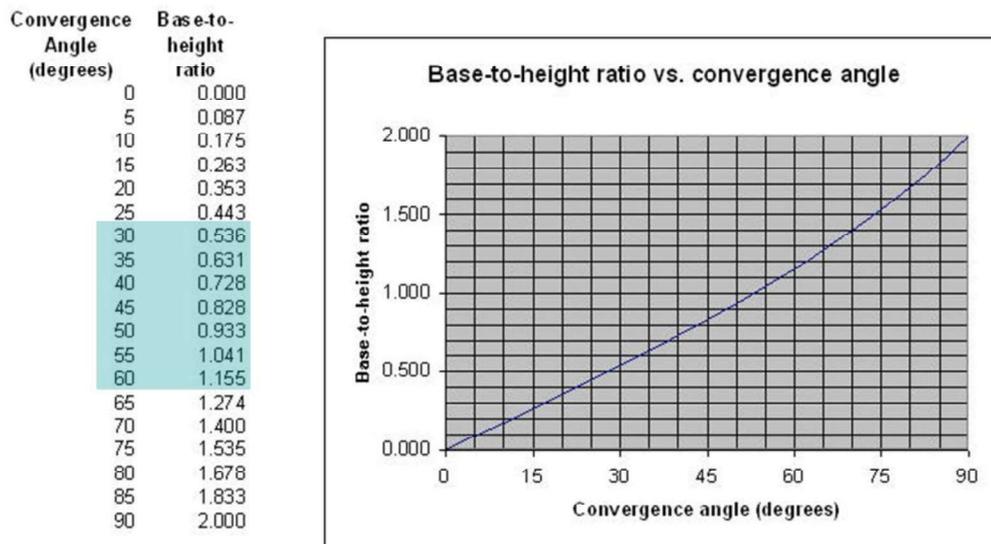


Figure 5-8. Epipolar plane, asymmetry angle, convergence angle, and bisector elevation angle (from STDI-0002)

b. Convergence Angles. Lower convergence angles provide better stereo fusibility which is the ease to which the stereo images can be viewed/processed. Lower convergence angles imply two images that are closer in time and space, resulting in pixels that are more similar in size and orientation. Similarity of pixels in size and orientation helps to provide better matches between the two images, be they matches in the human brain to see stereo or matches of interest/tie points with conjugate points in the other image as found by automated point/terrain extraction software. However, lower convergence also implies more parallel rays which make z/height estimation less accurate than larger angles. High convergence angles provide better z-value estimates. High convergence angles imply two images that are taken further apart in time and space resulting in differing pixel sizes and orientations. Ray intersections are more precise as a result of the larger angle. To overcome a lack of stereo fusibility with larger convergence angles, an epipolar rectification can be performed to minimize differences in pixel size and orientation. Epipolar rectification is projection to a plane formed by the ground point and the two perspective center. It is important to balance fusibility with z-accuracy requirements. The convergence angle (C) should be between 30° and 60° .

c. Asymmetry, Roll and BIE. Asymmetry (A) and Roll (R) are both negatively correlated with fusibility; therefore Asymmetry and Roll should both be kept small. The Bisector Elevation Angle (BIE) combines the effect of both Asymmetry and Roll and should be near 90° to keep Asymmetry and Roll small. An empirical study by Cain (1989) shows that (BIE – C) is strongly correlated with fusibility. (BIE – C) > ~20° is recommended for comfortable stereo fusion.

d. Base/Height Ratio. At Figure 5-9, the preferred Base/Height ratios are highlighted in blue.



$B/H = 2 * \tan(C/2)$
(asymmetry = 0 and bisector elevation = 90 degrees.)

Figure 5-9. Relationship between stereo convergence angle and the base/height ratio.

5-6. Ten Things to Consider in Buying Optical Satellite Imagery.



Q22

a. Spatial Resolution. Image resolution is the first number looked at and the one that grabs the headlines. Resolution, however, can refer to multiple parameters. For example, temporal resolution measures how frequently a satellite can image a target. But more commonly, spatial resolution is used to describe the level of detail that can be seen in the image. As shown at Figures 5-10 and 5-11, an image with 1-meter spatial resolution, where each pixel represents a ground distance of 1 meter x 1 meter, has higher resolution – is more detailed – than a 5-meter resolution image where each pixel represents a ground distance of 5 meters x 5 meters. Note that these two figures are not shown at the same scale, but Figure 5-10 is already blurry before zooming in to a larger scale as in Figure 5-11. The native ground sample distance (GSD) of images varies based on collection geometry, but images are subsequently re-sampled to a uniform resolution.

(1) When zoomed out far enough, high- and medium-resolution imagery looks the same. The difference becomes apparent when zooming in closer, as the high-resolution imagery – typically 1 meter or less – will display greater feature detail and show smaller features. Although digital imagery doesn't have an inherent scale, higher spatial resolution will support viewing/plotting at a larger scale (see Table 5-1).



Figure 5-10. Image with 5-meter resolution.



Figure 5-11. Image with 1-meter resolution.

(2) Resolution selection is often driven by the size of the area of interest (AOI). Due to cost and technical considerations, high-resolution imagery usually is selected for AOIs smaller than 500 square kilometers, whereas medium-resolution imagery can offer a cost savings for AOIs 500 square kilometers and larger. Besides higher cost, disadvantages of high-resolution imagery include larger file size (caused by an exponential relationship between resolution and file size) and smaller swath widths – the width across a single scene/strip of imagery.

b. Spatial Accuracy. Although there is typically some level of correlation between spatial resolution and accuracy, there are notable exceptions. Compared with DigitalGlobe's QuickBird satellite, for example, the company's WorldView-1 and WorldView-2 satellites offer only a moderate enhancement to spatial resolution, but because they employ new technology, they achieve significantly improved native accuracy. Most satellite imagery is delivered georectified or georeferenced, but not orthorectified, which is a process that improves absolute accuracy by correcting for terrain displacement. Therefore, the accuracies listed in Table 5-1 are exclusive of terrain displacements, which is significant in areas of high relief. Typically, horizontal accuracy is expressed as CE90 (Circular Error, 90 percent), but it may also be expressed as RMSE (Root Mean Square Error) or as a scale. For example, to comply with U.S. National Map Accuracy Standards for 1:12,000-scale, an orthorectified image would need to achieve 10 meter CE90 accuracy or a radial RMSE of 6.7 meters.

c. Off-Nadir Angle/Elevation Angle. In practice, collecting an image at nadir, i.e., looking straight down at the target, doesn't happen with high-resolution satellite imagery; satellite sensors always shoot at an angle. This agility improves imaging revisit times and, with some satellites, enables stereo collects for 3-D elevation modeling. Satellite operators may report this either as "elevation angle," where 90 degrees is looking straight down, or "off-nadir angle," where 0 degrees would be looking straight down. A typical minimum is an elevation angle of 60 degrees, which is a 30-degree off-nadir angle. A high-elevation angle (lower off-nadir angle) often is desirable, especially in areas of high relief or tall buildings to minimize what's known as the building-lean effect. However, the desire for a higher elevation angle must be weighed against the resulting decreased imaging revisit time. A 70-degree or higher elevation angle (20 degree or less off-nadir angle) decreases the number of potential attempts the satellite can make in a given time period, making a successful new collect less likely. Figure 5-12 shows how satellite agility increases collection effectiveness and allows some sensors to perform in-line (same-pass) stereo collection.

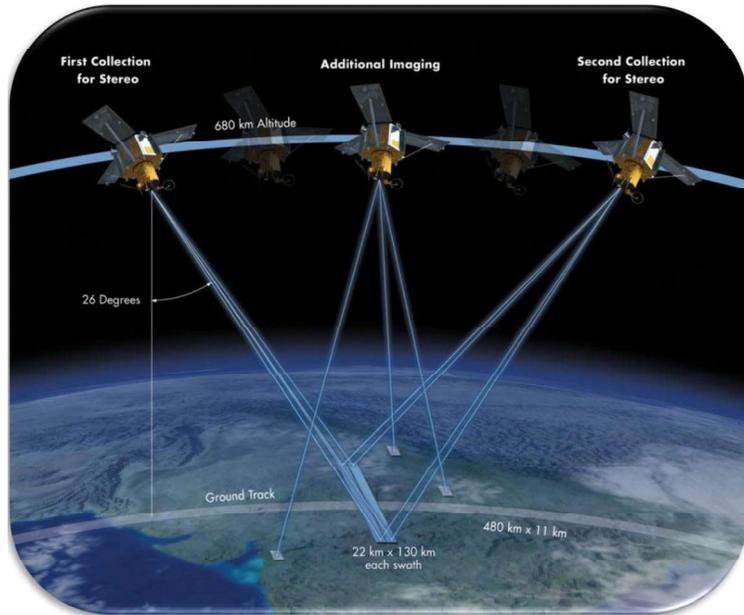


Figure 5-12. Satellite agility enables the satellite to point in different directions and collect imagery off-nadir.

d. Sun Elevation. Sun elevation is the angle of the sun above the horizon. Imagery collected with low sun elevation angles may contain data that are too dark to be usable. A typical minimum sun elevation angle is 30 degrees, but adhering to this requirement means that northern

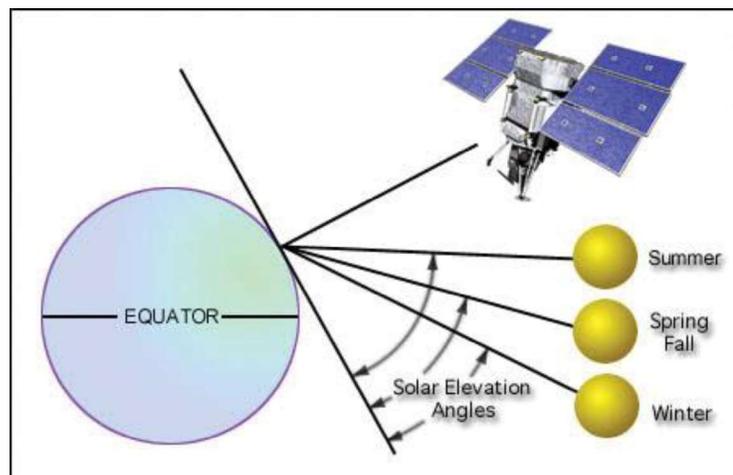


Figure 5-13. Changes in sun elevation angle cause variations in the illumination conditions under which imagery is obtained.



latitudes above 35 degrees will have black-out periods during the winter months when imagery with an acceptable sun elevation angle can't be collected. Figure 5-13 shows the changing sun elevation above the horizon during summer, spring/fall, and winter. In the northern hemisphere, sun elevation angles in the summer months are most favorable, casting much smaller shadows than winter months when sun elevation angles are much smaller.

(1) Decreasing the minimum required sun elevation angle to 15 degrees means that only northern latitudes above 50 degrees will have a black-out period; even a 30-degree sun angle is low for many applications. For example, increased shadow areas are problematic for classification and stereo projects. This will be more pronounced in high-relief areas and areas with taller objects, such as trees and buildings, where low sun elevation angles mean longer shadows will be cast.

(2) For some of the affected land masses, these black-out periods correspond to months with snow cover, making new collects during these times less desirable regardless. In areas such as Alaska, where sun angle and snow cover limit the window for optimal imaging, optical satellites are currently unable to meet some of the high demand for imagery.

e. Spectral Information. This section pertains to imaging bands, bit depth, and dynamic range adjustment bands. Each imaging band measures different wavelengths of light. Most commercial optical imaging satellites capture panchromatic (pan, viewed as monochromatic gray scale) imagery at higher resolution, and four multispectral (MS) bands – red, green, blue and infrared – at one-fourth the resolution to support a colorization process called pan-sharpening. Notable exceptions to this include WorldView-1 (pan only); WorldView-2 (pan + eight MS bands instead of the typical four MS bands); WorldView-3 (pan + eight MS bands + 8 SWIR bands + 12 CAVIS bands), and RapidEye, which acquires five MS bands but no pan imagery (see Table 5-1). CAVIS is a new term from DigitalGlobe that refers to Clouds, Aerosols, water Vapor, Ice and Snow.

(1) The most common format for typical users is true or natural color, which is three-band RGB (red, green, blue) pan-sharpened, as most users desire the combination of color and spatial detail. However, advanced users performing classification or analysis generally prefer four-band imagery because the infrared band is helpful for vegetation analysis (see Figure 5-4 above).

(2) Smaller file size used to be considered an advantage of pan imagery, but with increased computing capability, that has become less of a constraint. Pan imagery remains the preferred format for digital elevation model (DEM) generation from stereo pairs. When importing multiband imagery, different combinations can be selected. Four-band imagery 3-2-1 typically corresponds to true/natural color, whereas 4-3-2 represents false color/infrared.

(3) Bit depth is critical. Beginning with GeoEye’s IKONOS satellite, all leading high-resolution commercial imaging satellites capture 11-bit imagery, meaning a maximum of 2,048 digital numbers (DNs) per band instead of the earlier 8-bit/256 levels. RapidEye’s satellite constellation and Astrium’s Pléiades satellite offer the additional advantage of collecting 12-bit imagery. Improved bit depth aids the ability to discern detail in an image’s brightest and darkest (shadow) areas. Most computers require data in 8-bit format, so an 11- or 12-bit image can have the color table (DNs) downsampled to 8-bit or upsampled to 16-bit. In this case, the four or five unused bit locations are filled with zeros, creating a 16-bit file format but not a true 16-bit image. Imagery used for classification or analysis, or that which must be tonally balanced, always should be ordered in 16-bit format. For many users, however, there is convenience to using 8-bit imagery – smaller file sizes and no software compatibility issues.

(4) Dynamic range adjustment (DRA) is also critical. When delivering 8-bit imagery, high-resolution satellite operators typically will perform an automated process to adjust contrast and brightness. DRA offers a time savings for “load-and-go” imagery, so a user can avoid running a manual adjustment. This is prone to fail in certain areas, however, such as a desert where the color spectrum isn’t balanced. In such instances, a manual adjustment will yield better results. Manual contrast adjustment also tends to work better with pan imagery. Figure 5-14 and Figure 5-15 show the dramatic affects on an image’s visual interpretability whether DRA is off or on.



Figure 5-14. Dynamic range adjustment off.



Figure 5-15. Dynamic range adjustment on.

f. Projection. Although many programs now perform “on-the-fly” reprojections, ordering imagery in the same projection as other project data being used is still desirable. Stereo imagery for DEM generation often will be ordered as epipolar; however, a Universal Transverse Mercator (UTM) ortho can be output later. Because satellite operators offer a limited selection of projections, supporting a region-specific datum may require a custom reprojection.

g. Resampling Method. Cubic convolution is typically the default resampling method for Earth imagery, but the enhanced kernel – a hybrid of cubic convolution – is recommended for DigitalGlobe’s pan-sharpened products. For research applications, where image data may be converted to radiance values, nearest-neighbor resampling offers the advantage of not introducing any new values to the imagery, but it can introduce what appear to be geometric and color defects. Most imagery users wouldn’t be satisfied with the appearance of imagery processed with the nearest-neighbor method.

h. Collection Capacity. Because high-resolution imaging satellites are able to shoot off-nadir, their revisit times – ability to repeat coverage of the same AOI – is three days or less. Employing multiple high-resolution satellites on the same AOI means that intraday revisits are theoretically possible. However, in the real world, clouds and competition for satellite time are significant obstacles.

(1) A satellite’s collection capacity is determined by its swath width (see Table 5-1), agility to point and shoot at multiple targets or capture multiple adjacent strips for large-area mapping on the same orbital pass, onboard storage and downlink capacities. Pléiades 1, GeoEye-1, WorldView-1 and WorldView-2, and other satellites offer significantly improved collection capacities than their predecessors, while the older satellites, such as IKONOS and QuickBird, may have better available capacity to collect an AOI in regions where the newer satellites have demand backlogs.

(2) A satellite such as Pléiades 1, which doesn’t have a U.S. government backlog, also may be able to offer faster commercial tasking. Tasking two or more satellites for the same AOI improves the ability for imagery to be captured. For example, DigitalGlobe offers constellation order fulfillment (COF) where QuickBird and WorldView-2 can be tasked to acquire the same AOI with no cost uplift. RapidEye further leverages the

constellation concept by employing a system of five identical satellites to facilitate country, and regional-scale mapping and saturating coverage of areas with persistent cloud cover. See Figure 5-16.

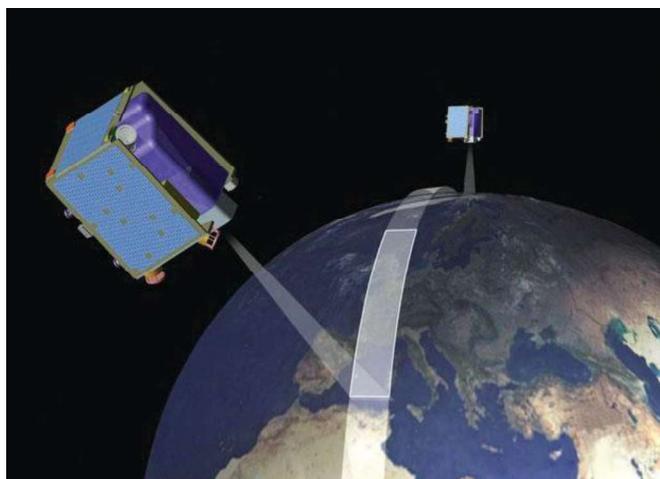


Figure 5-16. RapidEye’s constellation approach permits a cumulative swath to be built up as multiple satellites view adjacent regions of the ground.

(3) When placing a new collect order, a feasibility assessment typically will be run to estimate the turn-around time. The feasibility takes into account potential cloud cover for the

region and competition for satellite time in the area. Because collection queues can change daily and cloud cover is uncontrollable, feasibilities are only estimates, not guaranteed collection times. Most satellite operators offer priority tasking for an additional charge. Areas that have both high demand for imagery and persistent cloud cover are challenging to collect regardless of time of year and are likely to require lengthy turn-around times.

(4) It's important to note that due to sun-synchronous orbits, there is little control over the time of day an AOI is imaged. Collects, which are made only on the descending orbit, typically are made around 10:30 a.m. local time over a desired AOI. Because high-resolution imaging satellites orbit Earth 15 times a day, an interesting exception to this is polar latitudes. Because Earth narrows at extreme latitudes, intra-day collects via the same satellite are possible, presuming an acceptable sun angle.



Q24

i. Cloud Cover. Typical cloud-cover guarantee with new collections is 15 percent or less within the project AOI. Some satellite operators offer an improved cloud-cover guarantee for a cost uplift or the ability to choose a small cloud-free target area that must have zero cloud cover. This option is well suited for infrastructure sites such as airports, mines, and oil and gas installations. Typically, if the satellite operator can't deliver a new collect that meets order specifications during the estimated collection window, the customer can either extend the collection window or cancel the order at no charge. With archived imagery, a reduced-resolution preview graphic can be reviewed ahead of time, although it can be difficult to detect small clouds or haze. Where persistent cloud cover is the major issue with imaging satellites, synthetic aperture radar (SAR) imagery from satellites (e.g., Shuttle Radar Topography Mission, RADARSAT-2, TerraSAR-X and TanDEM-X) should be considered.

j. Delivery Method. Traditional delivery methods have been file transfer protocol (FTP), digital video disk (DVD) and external hard drive. As FTP capabilities have improved and the cost of external hard drives has come down, DVD is being used less frequently. Before ordering a large area with DVD delivery, it's worthwhile to consider the time required to upload from DVD to hard drive vs. the extra cost of delivery on external hard drive. Heavy imagery users also will benefit from an upgrade to USB 3.0.

(1) Although this chapter discusses parameters dealing with ideal imagery collection scenarios, real-world cost and turn-around time constraints often mean imagery users will need to consider available imagery. For example, in a high cloud cover/high tasking competition, the best option may be to use a less than optimal archived image because a new collect likely would require a lengthy turn-around time and not come back entirely cloud-free.

(2) In such instances, an experienced geodata professional can help users understand the acceptable trade-offs for a proposed project. Working with an independent data company, often called value-added resellers (VARs) or channel partners, can help ensure the optimal imagery

solution for a specific project area instead of limiting the range of options to one satellite operator. VARs typically offer imagery at the same price as the source company.

(3) A specialized data company can source DEMs and ground control as needed and can offer custom processing and flexible delivery options. For example, imagery can be ortho output as 16-bit pan plus MS for users with more advanced remote sensing software and three-band, 8-bit pan-sharpened mosaics with contrast adjustment, in GeoTiff and wavelet compressed formats, for users with daily computer-aided drafting (CD), geographic information system (GIS) and graphics software applications.

(4) In areas where the selection of archived imagery is limited, a value-added vendor can combine imagery from multiple sensors into the same mosaic. In addition, products can be processed to complement each other. For example, high-resolution imagery with superior native accuracy can be used as control to improve the accuracy of medium-resolution images covering larger areas.

(5) Along with the leading commercial optical satellite imagery products, many additional optical imagery solutions exist. Where available, aerial photography (panchromatic and multispectral) can be an alternative to high-resolution satellite imagery, as discussed in Chapter 4. The Japanese satellite ALOS no longer is collecting new imagery, but archived imagery collected during its five-year lifespan is available at moderate cost. The range of other imaging satellites is too numerous to list, but includes options such as KOMPSAT, EROS, FORMOSAT, the IRS constellation, Resourcesat and Cartosat, among other sensors. Public-domain Landsat and low-cost ASTER imagery can facilitate projects where commercial imagery may be cost-prohibitive. Both Landsat and Astrium's SPOT Image products offer extensive historical imagery archives.

5-7. More Things to Consider Before Buying Satellite Imagery. USACE personnel are strongly encouraged to consider inquiring with the Army Geospatial Center (AGC) Imagery Office prior to deciding to purchase commercial satellite imagery through the commercial vendors. The AGC Imagery Office (AIO) can leverage the National Geospatial-Intelligence Agency (NGA) contract vehicles for the benefit of the geospatial community, to include USACE as part of DoD. The contract vehicles awarded allow AIO to submit requirements for new and archived imagery, at no cost, on behalf of USACE. The EnhancedView contract provides EO satellite imagery and the COMSAR contract provides SAR imagery.

a. Background. In 2003, the US Commercial Remote Sensing Space Policy (CRSSP) named the National Geospatial-Intelligence Agency (NGA) the lead federal agency for geospatial intelligence (GEOINT). This policy designated responsibility to NGA for managing the acquisition and dissemination of commercial imagery data to users in the National System for Geospatial Intelligence (NSG) and to NSG partners.

(1) NGA revolutionized government-industry partnerships by initiating the EnhancedView Program to cost-effectively acquire US commercial satellite imagery for the entire National System for Geospatial Intelligence (NSG) community. EnhancedView enables NGA to maximize the return on the US Government (USG) investment by purchasing services as well as imagery; by adding capacity to collect more spectrally diverse imagery with the launch of new satellites; and by developing the ground infrastructure improvements to better support operations and speed delivery times. The EnhancedView Program is an example of the USG's commitment to protect national security and foreign policy interests by maintaining the nation's leadership in remote sensing.

(2) The service level agreement (SLA) established under EnhancedView enables NGA to purchase US commercial satellite imagery data from the commercial data provider (CDP), Digital Globe. Digital Globe owns and operates the QuickBird, WorldView-1, and WorldView-2 satellites—as well as the IKONOS, OrbView-3, and GeoEye-1 satellites—since the merger of GeoEye and Digital Globe in 2012.

(3) NGA maintains contracts for COMSAR which provides access to imagery, data products, and downlink services from the COSMO-SkyMed, RADARSAT-2, and TerraSAR-X constellations. Partnering with commercial vendors provides NGA access to eight Synthetic Aperture Radar (SAR) sensors with varying capabilities to meet Unified Combatant Command (UCC) and Intelligence Community (IC) requirements. COMSAR imagery is used for commercial and government applications, including disaster response activities, humanitarian relief efforts, monitoring sea ice for maritime navigation/safety of navigation (SoN), and open ocean surveillance (OOS).

b. Commercial Satellite Imagery Access on Online. There are several sources of archived commercial satellite imagery online and available for users to access, research coverage, and order for program and project purposes.

- (1) The Web-Based Access and Retrieval Portal (WARP)/Unclassified St. Louis Information Library (USTIL) [The USTIL will be retired in June 2014]; <https://warp.nga.mil>
- (2) The Net Centric GeoINT Discovery Services (NGDS); <https://portal.nga.ic.gov/wes>
- (3) The NSG Exploitation Services (JWICS only);
- (4) The AIO Data Library (via AGCDataDoorsWeb); <http://agc.datadoors.net/datadoorsweb/TermsAndAgreement.aspx>

c. NGA's Web Hosting Service. Global Enhanced GEOINT Delivery (G-EGD) is a key component of the EnhancedView program. G-EGD was built upon the former Rapid Delivery of Online GEOINT (RDOG)/EGD program to revolutionize how NGA delivers commercial imagery to the user. G-EGD is the first step toward creating an unclassified global GEOINT solution and is the foundation from which other GEOINT products are derived. Content is available through the EnhancedView Web Hosting Service (EV WHS). Built on the concept of "ortho once, use often," this service now orthorectifies imagery throughout many areas of interest (AOIs) as the imagery is collected. Via the web hosting service, users have the option of streaming or downloading orthorectified imagery and, when applicable, pan-sharpened imagery. The web-hosted streams are accessible via programs such as ArcGIS or Google Earth® and through online viewers hosted on Digital Globe websites. All of the imagery and many of the products available via the streaming service are also available for download via the NGA gateway on all security domains. <https://evwhs.digitalglobe.com/myDigitalGlobe/>

d. NGA Gateway. NGA Gateway is a repository of many types of datasets that are available for download, including orthorectified image products. The Gateway can be accessed on all three security networks (JWICS, SIRPNet, and NIPRNet). The following are types of commercial ortho products that can be accessed via the NGA Gateway:

- (1) Commercial Imagery Regional Orthomosaics (Regional Orthos)
- (2) Commercial Orthorectified Image Product (COIP)
- (3) MrSID Orthorectified GeoTIFF (MSOG)
- (4) Rapid Response Orthomosaics (RROs) (formerly CI Orthos)
- (5) NIPRNet: <http://aero.geointel.nga.mil/products/ciortho/index.cfm>

e. AIO Support. USACE personnel can contact the AIO and request research and collection of commercial satellite imagery, EO and/or SAR. Project details and suspense dates are welcomed in order to facilitate the imagery acquisition. The AIO will research existing archived imagery, if suitable to meet the users' requirements, or submit a Geospatial Intelligence Need (GIN) to NGA requesting an archived scene(s) or a new collection of the area of interest. Imagery will be delivered to AIO or the customer directly via FTP, HTTP, external drive or DVD by Federal Express. Successful collection and delivery ranges vary dramatically due to weather and competition constraints. The commercial imagery funded by NGA's EnhancedView or COMSAR contract prevents USACE funding spent for this data.
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